Senate



General Assembly

File No. 227

February Session, 2010

Substitute Senate Bill No. 389

Senate, March 31, 2010

The Committee on Government Administration and Elections reported through SEN. SLOSSBERG of the 14th Dist., Chairperson of the Committee on the part of the Senate, that the substitute bill ought to pass.

AN ACT CONCERNING THE CITIZENS' ELECTION PROGRAM FOR STATE-WIDE OFFICES.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. Section 9-700 of the general statutes is repealed and the
- 2 following is substituted in lieu thereof (*Effective from passage*):
- 3 As used in [sections 9-700 to 9-716, inclusive] this chapter and
- 4 <u>section 5 of this act</u>:
- 5 (1) "Commission" means the State Elections Enforcement
- 6 Commission.
- 7 (2) "Depository account" means the single checking account at the
- 8 depository institution designated as the depository for the candidate
- 9 committee's moneys in accordance with the provisions of subsection
- 10 (a) of section 9-604.
- 11 (3) "District office" has the same meaning as provided in section 9-

- 12 372.
- 13 (4) "Eligible minor party candidate" means a candidate for election 14 to an office who is nominated by a minor party pursuant to subpart B
- of part III of chapter 153.
- 16 (5) "Eligible petitioning party candidate" means a candidate for
- 17 election to an office pursuant to subpart C of part III of chapter 153
- 18 whose nominating petition has been approved by the Secretary of the
- 19 State pursuant to section 9-453o.
- 20 (6) "Fund" means the Citizens' Election Fund established in section
- 21 9-701, as amended by this act.
- 22 (7) "General election campaign" means (A) in the case of a candidate
- 23 nominated at a primary, the period beginning on the day following the
- 24 primary and ending on the date the campaign treasurer files the final
- 25 statement for such campaign pursuant to section 9-608, or (B) in the
- 26 case of a candidate nominated without a primary, the period
- 27 beginning on the day following the day on which the candidate is
- 28 nominated and ending on the date the campaign treasurer files the
- 29 final statement for such campaign pursuant to section 9-608.
- 30 (8) "Major party" has the same meaning as provided in section 9-372.
- 31 (9) "Minor party" has the same meaning as provided in section 9-
- 32 372.
- 33 (10) "Municipal office" has the same meaning as provided in section
- 34 9-372.
- 35 (11) "Primary campaign" means the period beginning on the day
- 36 following the close of (A) a convention held pursuant to section 9-382
- 37 for the purpose of endorsing a candidate for nomination to the office of
- 38 Governor, Lieutenant Governor, Attorney General, State Comptroller,
- 39 State Treasurer or Secretary of the State or the district office of state
- 40 senator or state representative, or (B) a caucus, convention or town
- 41 committee meeting held pursuant to section 9-390 for the purpose of

endorsing a candidate for the municipal office of state senator or state representative, whichever is applicable, and ending on the day of a primary held for the purpose of nominating a candidate for such office.

- (12) "Qualified candidate committee" means a candidate committee
 (A) established to aid or promote the success of any candidate for
 nomination or election to the office of Governor, Lieutenant Governor,
 Attorney General, State Comptroller, State Treasurer, Secretary of the
 State, state senator or state representative, and (B) approved by the
 commission to receive a grant from the Citizens' Election Fund under
 section 9-706, as amended by this act.
- 53 (13) "Qualifying contribution" means a contribution which is 54 applied toward the amount required to receive a grant under section 9-55 705, as amended by this act.
- 56 (14) "Supplemental qualifying contribution" means a contribution 57 received in order to qualify for a supplemental grant under section 5 of 58 this act or received in accordance with subdivision (3) of subsection (c) 59 of section 9-702, as amended by this act.
- Sec. 2. Section 9-702 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- 62 (a) There is established a Citizens' Election Program under which (1) 63 the candidate committee of a major party candidate for nomination to 64 the office of state senator or state representative in 2008, or thereafter, 65 or the office of Governor, Lieutenant Governor, Attorney General, 66 State Comptroller, Secretary of the State or State Treasurer in 2010, or 67 thereafter, may receive a grant from the Citizens' Election Fund for the 68 candidate's primary campaign for said nomination, and (2) the 69 candidate committee of a candidate nominated by a major party, or the 70 candidate committee of an eligible minor party candidate or an eligible 71 petitioning party candidate, (A) for election to the office of state 72 senator or state representative at a special election held on or after 73 December 31, 2006, or at a regular election held in 2008, or thereafter,

or (B) for election to the office of Governor, Attorney General, State
Comptroller, Secretary of the State or State Treasurer in 2010, or
thereafter, may receive a grant from the fund for the candidate's
general election campaign for said office.

- (b) (1) Any such candidate committee is eligible to receive such grants under section 9-705, as amended by this act, for a primary campaign, if applicable, and a general election campaign if [(1)] (A) the candidate certifies as a participating candidate under section 9-703, as amended by this act, [(2)] (B) the candidate's candidate committee receives the required amount of qualifying contributions under section 9-704, as amended by this act, [(3)] (C) the candidate's candidate committee returns or transmits to the commission for deposit in the Citizens' Election Fund all contributions that do not meet the criteria for qualifying contributions under said section 9-704, [(4)] (D) the candidate agrees to limit the campaign expenditures of the candidate's candidate committee in accordance with the provisions of subsection (c) of this section, and [(5)] (E) the candidate submits an application and the commission approves the application in accordance with the provisions of section 9-706, as amended by this act.
- (2) After receiving a grant under section 9-705, as amended by this act, a qualified candidate committee of a candidate for the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer may then qualify for a supplemental grant under section 5 of this act.
 - (c) (1) A candidate participating in the Citizens' Election Program shall limit the expenditures of the candidate's candidate committee (A) before a primary campaign and a general election campaign, to the amount of qualifying contributions permitted in section [9-705] 9-704, as amended by this act, [and] any personal funds provided by the candidate under subsection (c) of section 9-710, and the amount of any contributions under subdivision (3) of this subsection, if applicable, (B) for a primary campaign, to the sum of (i) the amount of such qualifying contributions and personal funds that have not been spent

107 before the primary campaign, (ii) the amount of the grant for the 108 primary campaign authorized under section 9-705, as amended by this act, [and] (iii) the amount of any additional moneys for the primary 109 campaign authorized under [section 9-713 or 9-714] sections 9-713 and 110 111 9-714, as amended by this act, if applicable, for a candidate for the 112 office of state senator or state representative or under section 5 of this 113 act, if applicable, for a candidate for the office of Governor, Lieutenant 114 Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer, and (iv) the amount of any supplemental qualifying 115 116 contributions under subdivision (3) of this subsection, if applicable, for a candidate for the office of Governor, Lieutenant Governor, Attorney 117 General, State Comptroller, Secretary of the State or State Treasurer, 118 and (C) for a general election campaign, to the sum of (i) the amount of 119 such qualifying contributions and personal funds that have not been 120 121 spent before the general election campaign, (ii) any unexpended funds 122 from any grant for a primary campaign authorized under section 9-123 705, as amended by this act, or from any additional moneys for a 124 primary campaign authorized under [section 9-713 or 9-714] sections 9-125 713 and 9-714, as amended by this act, if applicable, or section 5 of this act, if applicable, (iii) the amount of the grant for the general election 126 campaign authorized under section 9-705, as amended by this act, 127 128 [and] (iv) the amount of any additional moneys for the general election 129 campaign authorized under [section 9-713 or 9-714] sections 9-713 and 130 9-714, as amended by this act, for a candidate for the office of state 131 senator or state representative or under section 5 of this act, if applicable, for a candidate for the office of Governor, Attorney 132 General, State Comptroller, Secretary of the State or State Treasurer, 133 134 and (v) the amount of any supplemental qualifying contributions under subdivision (3) of this subsection for a candidate for the office of 135 Governor, Attorney General, State Comptroller, Secretary of the State 136 137 or State Treasurer.

(2) The candidate committee of a minor or petitioning party candidate for the office of state senator or state representative who has received a general election campaign grant from the fund pursuant to section 9-705, as amended by this act, or any candidate participating in

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the Citizens' Election Program, who is unopposed in the general election campaign and therefore deemed ineligible to receive a general election campaign grant pursuant to subdivision (3) of subsection (i) of section 9-705, as amended by this act, shall be permitted to receive contributions in addition to the qualifying contributions permitted in section 9-704, as amended by this act, subject to the limitations and restrictions applicable to participating candidates for the same office, provided (A) such minor or petitioning party candidate shall limit the expenditures of the candidate committee for a general election campaign to the sum of (i) the qualifying contributions and personal funds, (ii) the amount of the general election campaign grant received, and (iii) the amount raised in additional contributions that is equivalent to the difference between the amount of the applicable general election campaign grant for a major party candidate for such office and the amount of the general election campaign grant received by such minor or petitioning party candidate, (B) such unopposed candidate shall limit the expenditures of the candidate committee for a general election campaign to the sum of (i) the qualifying contributions and personal funds, and (ii) additional contributions not to exceed thirty per cent of the applicable general election campaign grant, as set forth in subsections (a) to (h), inclusive, of section 9-705, as amended by this act, and (C) if, subsequent to being deemed an unopposed candidate pursuant to subdivision (3) of subsection (i) section 9-705, as amended by this act, such participating candidate is deemed opposed and such candidate's qualified candidate committee receives a general election grant, such candidate shall limit the expenditures of the candidate committee for a general election campaign to the sum of (i) the qualifying contributions and personal funds, (ii) additional contributions not to exceed thirty per cent of the applicable general election campaign grant, as set forth in subsections (a) to (h), inclusive, of said section 9-705, and (iii) such applicable general election campaign grant, subject to the provisions of subdivision (4) of subsection (i) of said section 9-705.

(3) After qualifying for a grant under section 9-705, as amended by this act, a qualified candidate committee of a candidate for the office of

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Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer that is eligible to receive a grant under section 5 of this act, regardless of whether such candidate committee satisfies application deadlines under section 9-706, as amended by this act, may receive supplemental qualifying contributions subject to the limitations and restrictions under section 9-704, as amended by this act. The amount raised in supplemental qualifying contributions shall not exceed an amount that is the equivalent to one-third of the maximum amount of the applicable grant that such qualified candidate committee would be eligible for if such qualified candidate committee received the maximum grant amount under said section 5.

- (d) For the purposes of [sections 9-700 to 9-716, inclusive] this chapter and section 5 of this act, if a qualified candidate committee receives a grant for a primary campaign and has qualifying contributions that have not been spent before the primary campaign, no expenditures by such committee during the primary campaign shall be deemed to have been made from such qualifying contributions until the primary campaign grant funds have been fully spent.
- (e) No grants or moneys paid to a qualified candidate committee from the Citizens' Election Fund under [sections 9-700 to 9-716, inclusive] this chapter and section 5 of this act, shall be deemed to be public funds under any other provision of the general statutes or any public or special act unless specifically stated by such provision.
- Sec. 3. Section 9-704 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
 - (a) The amount of qualifying contributions that the candidate committee of a candidate shall be required to receive in order to be eligible for grants from the Citizens' Election Fund <u>under section 9-705</u>, <u>as amended by this act</u>, shall be:
 - (1) In the case of a candidate for nomination or election to the office of Governor, contributions from individuals in the aggregate amount

of two hundred fifty thousand dollars, of which two hundred twentyfive thousand dollars or more is contributed by individuals residing in the state. The provisions of this subdivision shall be subject to the following: (A) The candidate committee shall return the portion of any contribution or contributions from any individual, including said candidate, that exceeds [one] five hundred dollars, and such excess portion shall not be considered in calculating such amounts, and (B) all contributions received, including the full amount of any contribution received for the 2010 campaign and for any such campaign thereafter, by (i) an exploratory committee established by said candidate, or (ii) an exploratory committee or candidate committee of a candidate for the office of Lieutenant Governor who is deemed to be jointly campaigning with a candidate for nomination or election to the office of Governor under subsection (a) of section 9-709, which meet the criteria for qualifying contributions to candidate committees under this section shall be considered in calculating such amounts. [; and]

(2) In the case of a candidate for nomination or election to the office of Lieutenant Governor, Attorney General, State Comptroller, State Treasurer or Secretary of the State, contributions from individuals in the aggregate amount of seventy-five thousand dollars, of which sixtyseven thousand five hundred dollars or more is contributed by individuals residing in the state. The provisions of this subdivision shall be subject to the following: (A) The candidate committee shall return the portion of any contribution or contributions from any individual, including said candidate, that exceeds [one hundred] two hundred fifty dollars, and such excess portion shall not be considered in calculating such amounts, and (B) all contributions received, including up to two hundred fifty dollars of the amount of any contribution received for the 2010 campaign and for any such campaign thereafter, by an exploratory committee established by said candidate that meet the criteria for qualifying contributions to candidate committees under this section shall be considered in calculating such amounts.

(3) In the case of a candidate for nomination or election to the office

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of state senator for a district, contributions from individuals in the aggregate amount of fifteen thousand dollars, including contributions from at least three hundred individuals residing in municipalities included, in whole or in part, in said district. The provisions of this subdivision shall be subject to the following: (A) The candidate committee shall return the portion of any contribution or contributions from any individual, including said candidate, that exceeds one hundred dollars, and such excess portion shall not be considered in calculating the aggregate contribution amount under this subdivision, (B) no contribution shall be counted for the purposes of the requirement under this subdivision for contributions from at least three hundred individuals residing in municipalities included, in whole or in part, in the district unless the contribution is five dollars or more, and (C) all contributions received by an exploratory committee established by said candidate that meet the criteria for qualifying contributions to candidate committees under this section shall be considered in calculating the aggregate contribution amount under this subdivision and all such exploratory committee contributions that also meet the requirement under this subdivision for contributions from at least three hundred individuals residing in municipalities included, in whole or in part, in the district shall be counted for the purposes of said requirement.

(4) In the case of a candidate for nomination or election to the office of state representative for a district, contributions from individuals in the aggregate amount of five thousand dollars, including contributions from at least one hundred fifty individuals residing in municipalities included, in whole or in part, in said district. The provisions of this subdivision shall be subject to the following: (A) The candidate committee shall return the portion of any contribution or contributions from any individual, including said candidate, that exceeds one hundred dollars, and such excess portion shall not be considered in calculating the aggregate contribution amount under this subdivision, (B) no contribution shall be counted for the purposes of the requirement under this subdivision for contributions from at least one hundred fifty individuals residing in municipalities included, in whole

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or in part, in the district unless the contribution is five dollars or more, and (C) all contributions received by an exploratory committee established by said candidate that meet the criteria for qualifying contributions to candidate committees under this section shall be considered in calculating the aggregate contribution amount under this subdivision and all such exploratory committee contributions that also meet the requirement under this subdivision for contributions from at least one hundred fifty individuals residing in municipalities included, in whole or in part, in the district shall be counted for the purposes of said requirement.

- (5) Notwithstanding the provisions of subdivisions (3) and (4) of this subsection, in the case of a special election for the office of state senator or state representative for a district, (A) the aggregate amount of qualifying contributions that the candidate committee of a candidate for such office shall be required to receive in order to be eligible for a grant from the Citizens' Election Fund shall be seventy-five per cent or more of the corresponding amount required under the applicable said subdivision (3) or (4), and (B) the number of contributions required from individuals residing in municipalities included, in whole or in part, in said district shall be seventy-five per cent or more of the corresponding number required under the applicable said subdivision (3) or (4).
- (b) The maximum amount of contributions that a qualified candidate committee described in subsection (a) or (b) of section 5 of this act may receive as supplemental qualifying contributions in order to be eligible for a supplemental grant from the Citizens' Election Fund under section 5 of this act shall be:
- (1) (A) In the case of a qualified candidate committee of a major party candidate for the office of Governor who has a primary for nomination to said office, contributions from individuals in an aggregate amount not to exceed one-third of the maximum amount of the supplemental grant for a primary under subsection (a) of section 5 of this act, of which seventy-five per cent of the aggregate amount or

more is contributed by individuals residing in the state. The qualified candidate committee shall return the portion of any contribution or contributions from any individual, including said candidate, that exceeds five hundred dollars, and such excess portion shall not be considered in calculating such amounts.

- (B) In the case of a qualified candidate committee of a candidate for the office of Governor, contributions from individuals in an aggregate amount not to exceed one-third of the maximum amount of the supplemental grant for a general election under subsection (a) under section 5 of this act, of which seventy-five per cent of the aggregate amount or more is contributed by individuals residing in the state. The qualified candidate committee shall return the portion of any contribution or contributions from any individual, including said candidate, that exceeds five hundred dollars, and such excess portion shall not be considered in calculating such amounts.
- (2) (A) In the case of a qualified candidate committee of a major party candidate for the office of Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer who has a primary for nomination to said office, contributions from individuals in an aggregate amount not to exceed one-third of the maximum amount of the supplemental grant for a primary election under subsection (b) of section 5 of this act, of which seventy-five per cent of the aggregate amount or more is contributed by individuals residing in the state. The qualified candidate committee shall return the portion of any contribution or contributions from any individual, including said candidate, that exceeds two hundred fifty dollars, and such excess portion shall not be considered in calculating such amounts.
- (B) In the case of a qualified candidate committee of a candidate for the office of Attorney General, State Comptroller, Secretary of the State or State Treasurer, contributions from individuals in an aggregate amount not to exceed one-third of the maximum amount of the supplemental grant for a general election under subsection (b) of

344 section 5 of this act, of which seventy-five per cent of the aggregate

- 345 <u>amount or more is contributed by individuals residing in the state. The</u>
- 346 qualified candidate committee shall return the portion of any
- 347 contribution or contributions from any individual, including said
- 348 <u>candidate, that exceeds two hundred fifty dollars, and such excess</u>
- portion shall not be considered in calculating such amounts.
- 350 [(b)] (c) Each individual who makes a contribution of more than
- 351 fifty dollars to a candidate committee established to aid or promote the
- 352 success of a participating candidate for nomination or election shall
- 353 include with the contribution a certification that contains the same
- information described in subdivision (3) of subsection (c) of section 9-
- 355 608 and shall follow the same procedure prescribed in said subsection.
- 356 [(c)] (d) The following shall not be deemed to be qualifying
- 357 contributions under subsection (a) of this section or a supplemental
- 358 qualifying contribution under subsection (b) of this section and shall
- 359 be returned by the campaign treasurer of the candidate committee to
- 360 the contributor or transmitted to the State Elections Enforcement
- 361 Commission for deposit in the Citizens' Election Fund:
- 362 (1) A contribution from a communicator lobbyist or a member of the
- immediate family of a communicator lobbyist;
- 364 (2) A contribution from a principal of a state contractor or
- 365 prospective state contractor;
- 366 (3) A contribution of less than five dollars, and a contribution of five
- dollars or more from an individual who does not provide the full name
- and complete address of the individual; and
- 369 (4) A contribution under subdivision (1) or (2) of subsection (a) or
- 370 <u>subdivision (1) or (2) of subsection (b)</u> of this section from an
- individual who does not reside in the state, in excess of the applicable
- 372 limit on contributions from out-of-state individuals in subsection (a) or
- 373 (b) of this section.
- [(d)] (e) (1) After a candidate committee receives the applicable

aggregate amount of qualifying contributions under subsection (a) of this section or supplemental qualifying contributions under subsection (b) of this section, the candidate committee shall transmit any additional contributions that it receives to the State Treasurer for deposit in the Citizens' Election Fund, except as provided for in subdivision (2) of this subsection.

- (2) If a qualified candidate committee is eligible for a supplemental grant under section 5 of this act, the qualified candidate committee may use excess qualifying contributions up to twenty per cent more than the applicable aggregate amount of qualifying contributions under subsection (a) of this section, as supplemental qualifying contributions under subsection (b) of this section. If a qualified candidate committee is eligible for a supplemental grant under said section 5 for a primary campaign, the qualified candidate committee may use excess supplemental qualifying contributions up to twenty per cent more than the applicable aggregate amount of supplemental qualifying contributions required to receive the grant that the committee received for the primary, as supplemental qualifying contributions for a supplemental grant under said section 5 for the qualified candidate committee for the general election.
- (f) (1) Any individual making a qualifying contribution to a candidate committee for a candidate for the office of Governor in an amount that does not exceed five hundred dollars under subsection (a) of this section, may make an additional qualifying contribution in an amount not to exceed five hundred dollars to the qualified candidate committee for such candidate under subsection (b) of this section.
- (2) Any individual making a qualifying contribution to a candidate committee for a candidate for the office of Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer in an amount that does not exceed two hundred fifty dollars under subsection (a) of this section, may make an additional qualifying contribution in an amount not to exceed two hundred fifty dollars to the qualified candidate committee for such candidate under subsection

(b) of this section.

- [(e)] (g) As used in this section, (1) "communicator lobbyist" has the
- 410 same meaning as provided in section 1-91, (2) "immediate family"
- 411 means the spouse or a dependent child of an individual, and (3)
- 412 "principal of a state contractor or prospective state contractor" has the
- same meaning as provided in subsection (g) of section 9-612.
- Sec. 4. Section 9-705 of the general statutes is repealed and the
- following is substituted in lieu thereof (*Effective from passage*):
- 416 (a) (1) [The] In the case of a primary held in 2010, the qualified
- 417 candidate committee of a major party candidate for the office of
- 418 Governor who has a primary for nomination to said office shall be
- 419 eligible to receive a grant from the Citizens' Election Fund for the
- 420 primary campaign in the amount of one million two hundred fifty
- 421 thousand dollars. [, provided, in] In the case of a primary held in 2014,
- or thereafter, said amount shall be adjusted under subsection [(d)] (c)
- 423 of this section.
- 424 (2) [The] In the case of an election held in 2010, the qualified
- candidate committee of a candidate for the office of Governor who (A)
- has been nominated [, or who has qualified to appear on the election
- ballot in accordance with the provisions of subpart C of part III of
- chapter 153] by a major party, (B) is an eligible minor party candidate,
- 429 or (C) is an eligible petitioning party candidate, shall be eligible to
- receive a grant from the fund for the general election campaign in the
- amount of three million dollars. [, provided in] In the case of an
- 432 election held in 2014, or thereafter, said amount shall be adjusted
- 433 under subsection [(d)] (c) of this section.
- (b) (1) [The] In the case of a primary held in 2010, the qualified
- 435 candidate committee of a major party candidate for the office of
- 436 Lieutenant Governor, Attorney General, State Comptroller, Secretary
- of the State or State Treasurer who has a primary for nomination to
- said office shall be eligible to receive a grant from the fund for the
- primary campaign in the amount of [three hundred seventy-five] two

hundred thousand dollars. [, provided, in] In the case of a primary held in 2014, or thereafter, said amount shall be adjusted under subsection [(d)] (c) of this section.

- (2) [The] In the case of an election held in 2010, the qualified candidate committee of a candidate for the office of Attorney General, State Comptroller, Secretary of the State or State Treasurer who (A) has been nominated [, or who has qualified to appear on the election ballot in accordance with the provisions of subpart C of part III of chapter 153] by a major party, (B) is an eligible minor party candidate, or (C) is and eligible petitioning party candidate, shall be eligible to receive a grant from the fund for the general election campaign in the amount of [seven hundred fifty] five hundred thousand dollars. [, provided in] In the case of an election held in 2014, or thereafter, said amount shall be adjusted under subsection [(d)] (c) of this section.
- [(c) (1) Notwithstanding the provisions of subsections (a) and (b) of this section, the qualified candidate committee of an eligible minor party candidate for the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer shall be eligible to receive a grant from the fund for the general election campaign if the candidate of the same minor party for the same office at the last preceding regular election received at least ten per cent of the whole number of votes cast for all candidates for said office at said election. The amount of the grant shall be one-third of the amount of the general election campaign grant under subsection (a) or (b) of this section for a candidate for the same office, provided (A) if the candidate of the same minor party for the same office at the last preceding regular election received at least fifteen per cent of the whole number of votes cast for all candidates for said office at said election, the amount of the grant shall be two-thirds of the amount of the general election campaign grant under subsection (a) or (b) of this section for a candidate for the same office, (B) if the candidate of the same minor party for the same office at the last preceding regular election received at least twenty per cent of the whole number of votes cast for all candidates for said office at said election, the amount of the

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grant shall be the same as the amount of the general election campaign grant under subsection (a) or (b) of this section for a candidate for the same office, and (C) in the case of an election held in 2014, or thereafter, said amounts shall be adjusted under subsection (d) of this section.

(2) Notwithstanding the provisions of subsections (a) and (b) of this section, the qualified candidate committee of an eligible petitioning party candidate for the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer shall be eligible to receive a grant from the fund for the general election campaign if said candidate's nominating petition has been signed by a number of qualified electors equal to at least ten per cent of the whole number of votes cast for the same office at the last preceding regular election. The amount of the grant shall be one-third of the amount of the general election campaign grant under subsection (a) or (b) of this section for a candidate for the same office, provided (A) if said candidate's nominating petition has been signed by a number of qualified electors equal to at least fifteen per cent of the whole number of votes cast for the same office at the last preceding regular election, the amount of the grant shall be two-thirds of the amount of the general election campaign grant under subsection (a) or (b) of this section for a candidate for the same office, (B) if said candidate's nominating petition has been signed by a number of qualified electors equal to at least twenty per cent of the whole number of votes cast for the same office at the last preceding regular election, the amount of the grant shall be the same as the amount of the general election campaign grant under subsection (a) or (b) of this section for a candidate for the same office, and (C) in the case of an election held in 2014, or thereafter, said amounts shall be adjusted under subsection (d) of this section.

(3) In addition to the provisions of subdivisions (1) and (2) of this subsection, the qualified candidate committee of an eligible petitioning party candidate and the qualified candidate committee of an eligible minor party candidate for the office of Governor, Lieutenant Governor,

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Attorney General, State Comptroller, Secretary of the State or State Treasurer shall be eligible to receive a supplemental grant from the fund after the general election if the treasurer of such candidate committee reports a deficit in the first statement filed after the general election, pursuant to section 9-608, and such candidate received a greater per cent of the whole number of votes cast for all candidates for said office at said election than the per cent of votes utilized by such candidate to obtain a general election campaign grant described in subdivision (1) or (2) of this subsection. The amount of such supplemental grant shall be calculated as follows:

- (A) In the case of any such candidate who receives more than ten per cent, but not more than fifteen per cent, of the whole number of votes cast for all candidates for said office at said election, the grant shall be the product of (i) a fraction in which the numerator is the difference between the percentage of such whole number of votes received by such candidate and ten per cent and the denominator is ten, and (ii) two-thirds of the amount of the general election campaign grant under subsection (a) or (b) of this section for a major party candidate for the same office.
- (B) In the case of any such candidate who receives more than fifteen per cent, but less than twenty per cent, of the whole number of votes cast for all candidates for said office at said election, the grant shall be the product of (i) a fraction in which the numerator is the difference between the percentage of such whole number of votes received by such candidate and fifteen per cent and the denominator is five, and (ii) one-third of the amount of the general election campaign grant under subsection (a) or (b) of this section for a major party candidate for the same office.
- (C) The sum of the general election campaign grant received by any such candidate and a supplemental grant under this subdivision shall not exceed one hundred per cent of the amount of the general election campaign grant under subsection (a) or (b) of this section for a major party candidate for the same office.]

[(d)] (c) For elections held in 2014, and thereafter, the amount of the grants in subsections (a) [,] and (b) [and (c)] of this section shall be adjusted by the State Elections Enforcement Commission not later than January 15, 2014, and quadrennially thereafter, in accordance with any change in the consumer price index for all urban consumers as published by the United States Department of Labor, Bureau of Labor Statistics, during the period beginning on January 1, 2010, and ending on December thirty-first in the year preceding the year in which said adjustment is to be made.

[(e)] (d) (1) The qualified candidate committee of a major party candidate for the office of state senator who has a primary for nomination to said office shall be eligible to receive a grant from the fund for the primary campaign in the amount of thirty-five thousand dollars, provided (A) if the percentage of the electors in the district served by said office who are enrolled in said major party exceeds the percentage of the electors in said district who are enrolled in another major party by at least twenty percentage points, the amount of said grant shall be seventy-five thousand dollars, and (B) in the case of a primary held in 2010, or thereafter, said amounts shall be adjusted under subsection [(h)] (g) of this section. For the purposes of subparagraph (A) of this subdivision, the number of enrolled members of a major party and the number of electors in a district shall be determined by the latest enrollment and voter registration records in the office of the Secretary of the State submitted in accordance with the provisions of section 9-65. The names of electors on the inactive registry list compiled under section 9-35 shall not be counted for such purposes.

(2) The qualified candidate committee of a candidate for the office of state senator who has been nominated, or has qualified to appear on the election ballot in accordance with subpart C of part III of chapter 153, shall be eligible to receive a grant from the fund for the general election campaign in the amount of eighty-five thousand dollars, provided in the case of an election held in 2010, or thereafter, said amount shall be adjusted under subsection [(h)] (g) of this section.

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[(f)] (e) (1) The qualified candidate committee of a major party candidate for the office of state representative who has a primary for nomination to said office shall be eligible to receive a grant from the fund for the primary campaign in the amount of ten thousand dollars, provided (A) if the percentage of the electors in the district served by said office who are enrolled in said major party exceeds the percentage of the electors in said district who are enrolled in another major party by at least twenty percentage points, the amount of said grant shall be twenty-five thousand dollars, and (B) in the case of a primary held in 2010, or thereafter, said amounts shall be adjusted under subsection [(h)] (g) of this section. For the purposes of subparagraph (A) of this subdivision, the number of enrolled members of a major party and the number of electors in a district shall be determined by the latest enrollment and voter registration records in the office of the Secretary of the State submitted in accordance with the provisions of section 9-65. The names of electors on the inactive registry list compiled under section 9-35 shall not be counted for such purposes.

(2) The qualified candidate committee of a candidate for the office of state representative who has been nominated, or has qualified to appear on the election ballot in accordance with subpart C of part III of chapter 153, shall be eligible to receive a grant from the fund for the general election campaign in the amount of twenty-five thousand dollars, provided in the case of an election held in 2010, or thereafter, said amount shall be adjusted under subsection [(h)] (g) of this section.

[(g)] (f) (1) Notwithstanding the provisions of subsections [(e) and (f)] (d) and (e) of this section, the qualified candidate committee of an eligible minor party candidate for the office of state senator or state representative shall be eligible to receive a grant from the fund for the general election campaign if the candidate of the same minor party for the same office at the last preceding regular election received at least ten per cent of the whole number of votes cast for all candidates for said office at said election. The amount of the grant shall be one-third of the amount of the general election campaign grant under subsection [(e) or (f)] (d) or (e) of this section for a candidate for the same office,

provided (A) if the candidate of the same minor party for the same office at the last preceding regular election received at least fifteen per cent of the whole number of votes cast for all candidates for said office at said election, the amount of the grant shall be two-thirds of the amount of the general election campaign grant under subsection [(e) or (f)] (d) or (e) of this section for a candidate for the same office, (B) if the candidate of the same minor party for the same office at the last preceding regular election received at least twenty per cent of the whole number of votes cast for all candidates for said office at said election, the amount of the grant shall be the same as the amount of the general election campaign grant under subsection [(e) or (f)] (d) or (e) of this section for a candidate for the same office, and (C) in the case of an election held in 2010, or thereafter, said amounts shall be adjusted under subsection [(h)] (g) of this section.

(2) Notwithstanding the provisions of subsections [(e) and (f)] (d) and (e) of this section, the qualified candidate committee of an eligible petitioning party candidate for the office of state senator or state representative shall be eligible to receive a grant from the fund for the general election campaign if said candidate's nominating petition has been signed by a number of qualified electors equal to at least ten per cent of the whole number of votes cast for the same office at the last preceding regular election. The amount of the grant shall be one-third of the amount of the general election campaign grant under subsection [(e) or (f)] (d) or (e) of this section for a candidate for the same office, provided (A) if said candidate's nominating petition has been signed by a number of qualified electors equal to at least fifteen per cent of the whole number of votes cast for the same office at the last preceding regular election, the amount of the grant shall be two-thirds of the amount of the general election campaign grant under subsection [(e) or (f)] (d) or (e) of this section for a candidate for the same office, (B) if said candidate's nominating petition has been signed by a number of qualified electors equal to at least twenty per cent of the whole number of votes cast for the same office at the last preceding regular election, the amount of the grant shall be the same as the amount of the general election campaign grant under subsection [(e) or (f)] (d) or (e) of this

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section for a candidate for the same office, and (C) in the case of an election held in 2010, or thereafter, said amounts shall be adjusted under subsection [(h)] (g) of this section.

- (3) In addition to the provisions of subdivisions (1) and (2) of this subsection, the qualified candidate committee of an eligible petitioning party candidate and the qualified candidate committee of an eligible minor party candidate for the office of state senator or state representative shall be eligible to receive a supplemental grant from the fund after the general election if the treasurer of such candidate committee reports a deficit in the first statement filed after the general election, pursuant to section 9-608, and such candidate received a greater per cent of the whole number of votes cast for all candidates for said office at said election than the per cent of votes utilized by such candidate to obtain a general election campaign grant described in subdivision (1) or (2) of this subsection. The amount of such supplemental grant shall be calculated as follows:
- (A) In the case of any such candidate who receives more than ten per cent, but less than fifteen per cent, of the whole number of votes cast for all candidates for said office at said election, the grant shall be the product of (i) a fraction in which the numerator is the difference between the percentage of such whole number of votes received by such candidate and ten per cent and the denominator is ten, and (ii) two-thirds of the amount of the general election campaign grant under subsection [(a) or (b)] (d) or (e) of this section for a major party candidate for the same office.
- (B) In the case of any such candidate who receives more than fifteen per cent, but less than twenty per cent, of the whole number of votes cast for all candidates for said office at said election, the grant shall be the product of (i) a fraction in which the numerator is the difference between the percentage of such whole number of votes received by such candidate and fifteen per cent and the denominator is five, and (ii) one-third of the amount of the general election campaign grant under subsection [(a) or (b)] (d) or (e) of this section for a major party

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- (C) The sum of the general election campaign grant received by any such candidate and a supplemental grant under this subdivision shall not exceed one hundred per cent of the amount of the general election campaign grant under subsection [(a) or (b)] (d) or (e) of this section for a major party candidate for the same office.
 - [(h)] (g) For elections held in 2010, and thereafter, the amount of the grants in subsections [(e), (f) and (g)] (d), (e) and (f) of this section shall be adjusted by the State Elections Enforcement Commission not later than January 15, 2010, and biennially thereafter, in accordance with any change in the consumer price index for all urban consumers as published by the United States Department of Labor, Bureau of Labor Statistics, during the period beginning on January 1, 2008, and ending on December thirty-first in the year preceding the year in which said adjustment is to be made.
- [(i)] (h) Notwithstanding the provisions of subsections [(e), (f) and (g)] (d), (e) and (f) of this section, in the case of a special election for the office of state senator or state representative, the amount of the grant for a general election campaign shall be seventy-five per cent of the amount authorized under the applicable said subsection [(e), (f) or (g)] (d), (e) or (f).
 - [(j)] (i) Notwithstanding the provisions of subsections (a) to [(i)] (h), inclusive, of this section:
- (1) The initial grant that a qualified candidate committee for a candidate is eligible to receive under subsections (a) to [(i)] (h), inclusive, of this section shall be reduced by the amount of any personal funds that the candidate provides for the candidate's campaign for nomination or election pursuant to subsection (c) of section 9-710;
- 706 (2) If a participating candidate is nominated at a primary and does 707 not expend the entire grant for the primary campaign authorized

under subsection (a), (b), [(e)] (d) or [(f)] (e) of this section or all moneys that may be received for the primary campaign under section 9-713, as amended by this act, or 9-714, as amended by this act, or section 5 of this act, the amount of the grant for the general election campaign shall be reduced by the total amount of any such unexpended primary campaign grant and moneys;

(3) If a participating candidate who is nominated for election [does not have any opponent is unopposed in the general election campaign, [the amount of the general election campaign grant for which the qualified candidate committee for said candidate shall not be eligible [shall be thirty per cent of the applicable amount set forth in subsections (a) to (i), inclusive; and] to receive a general election campaign grant. For purposes of this chapter, a participating candidate who is nominated for election shall be deemed unopposed in the general election campaign unless, in the applicable race, the following occur: (A) (i) A major party other than said candidate's party endorses a candidate, other than said candidate, and makes the requisite filing with the Secretary of the State within the time specified in section 9-388, 9-391 or 9-400, as applicable, (ii) a candidate, other than said candidate, of a major party other than said candidate's party receives not less than fifteen per cent of the vote of convention delegates and complies with the filing requirements set forth in section 9-400, (iii) a candidate, other than said candidate, of a major party other than said candidate's party circulates a petition and obtains the required number of signatures for filing a candidacy for nomination and either qualifies for the primary or is the party's nominee, or (iv) a candidate, other than said candidate, qualifies as an eligible minor party candidate, as defined in section 9-700, as amended by this act, or qualifies as an eligible petitioning party candidate, as defined in said section 9-700, and (B) a candidate described in subparagraph (A) of this subdivision is required, pursuant to the provisions of section 9-604, to form a candidate committee or is exempt from forming a candidate committee under section 9-604, but required to file statements according to the same schedule and in the same manner as required under section 9-608, or is required to have another committee file such report of

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expenditures on the candidate's behalf; and

[(4) If the only opponent or opponents of a participating candidate who is nominated for election to an office are eligible minor party candidates or eligible petitioning party candidates and no such eligible minor party candidate's or eligible petitioning party candidate's candidate committee has received a total amount of contributions of any type that is equal to or greater than the amount of the qualifying contributions that a candidate for such office is required to receive under section 9-704 to be eligible for grants from the Citizens' Election Fund, the amount of the general election campaign grant for such participating candidate shall be sixty per cent of the applicable amount set forth in this section.]

- (4) If, subsequent to being deemed an unopposed candidate pursuant to subdivision (3) of this subsection, such participating candidate shall no longer qualify as unopposed, the applicable general election grant that such participating candidate's qualified candidate committee is eligible to receive pursuant to subsections (a) to (h), inclusive, of this section shall be reduced by the amount of any additional contributions raised pursuant to subdivision (2) of subsection (c) of section 9-702, as amended by this act.
- Sec. 5. (NEW) (Effective from passage) (a) (1) (A) The qualified candidate committee of a major party candidate for the office of Governor who has a primary for nomination to said office may be eligible, in accordance with the provisions of this subsection and subsection (d) of this section, to receive a supplemental grant from the Citizens' Election Fund for the primary campaign, in addition to a grant received pursuant to subsection (a) of section 9-705 of the general statutes, as amended by this act.
- (B) The amount of the grant pursuant to this subdivision shall be determined pursuant to subsection (d) of this section, but in no case shall exceed the maximum amount provided for in this subparagraph. In the case of a primary held in 2010, the maximum amount of such supplemental grant shall be nine hundred thirty-seven thousand five

hundred dollars. In the case of a primary held in 2014, or thereafter, the maximum amount of such grant shall be adjusted under subsection (c) of this section.

- (2) (A) The qualified candidate committee of a candidate for the office of Governor that received a grant pursuant to section 9-705 of the general statutes, as amended by this act, may be eligible, in accordance with the provisions of this subsection and subsection (d) of this section, to receive a supplemental grant from the fund for the general election campaign, in addition to a grant received pursuant to said section 9-705.
- (B) The amount of the grant pursuant to this subdivision shall be determined pursuant to subsection (d) of this section, but in no case shall exceed the maximum amount provided for in this subparagraph. In the case of an election held in 2010, the maximum amount of such supplemental grant shall be two million two hundred fifty thousand dollars. In the case of an election held in 2014, or thereafter, the maximum amount of such grant shall be adjusted under subsection (c) of this section.
- (b) (1) (A) The qualified candidate committee of a major party candidate for the office of Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer who has a primary for nomination to said office may be eligible, in accordance with the provisions of this subsection and subsection (d) of this section, to receive a supplemental grant from the Citizens' Election Fund for the primary campaign, in addition to a grant received pursuant to section 9-705 of the general statutes, as amended by this act.
- (B) The amount of the grant pursuant to this subdivision shall be determined pursuant to subsection (d) of this section, but in no case shall exceed the maximum amount provided for in this subparagraph. In the case of a primary held in 2010, the maximum amount of such supplemental grant shall be one hundred eighty thousand dollars. In the case of a primary held in 2014, or thereafter, the maximum amount of such grant shall be adjusted under subsection (c) of this section.

(2) (A) The qualified candidate committee of a candidate for the office of Attorney General, State Comptroller, Secretary of the State or State Treasurer that received a grant pursuant to section 9-705 of the general statutes, as amended by this act, may be eligible, in accordance with the provisions of this subsection and subsection (d) of this section, to receive a supplemental grant from the Citizens' Election Fund for the general election campaign, in addition to a grant received pursuant to said section 9-705.

- (B) The amount of the grant pursuant to this subdivision shall be determined pursuant to subsection (d) of this section, but in no case shall exceed the maximum amount provided for in this subparagraph. In the case of an election held in 2010, the maximum amount of such supplemental grant shall be three hundred seventy-five thousand dollars. In the case of a primary held in 2014, or thereafter, the maximum amount of such grant shall be adjusted under subsection (c) of this section.
- (c) For elections held in 2014, and thereafter, the maximum amount of the grants in subsections (a) and (b) of this section shall be adjusted by the commission not later than January 15, 2014, and quadrennially thereafter, in accordance with any change in the consumer price index for all urban consumers as published by the United States Department of Labor, Bureau of Labor Statistics, during the period beginning on January 1, 2010, and ending on December thirty-first in the year preceding the year in which said adjustment is to be made.
- (d) (1) Any qualified candidate committee described in subsections (a) or (b) of this section is eligible to receive a supplemental grant under this section for a primary campaign, if applicable, and a general election campaign if (A) the qualified candidate committee receives supplemental qualifying contributions under section 9-704 of the general statutes, as amended by this act, for a supplemental grant under this section, (B) the qualified candidate committee returns all contributions that do not meet the criteria for supplemental qualifying contributions under said section 9-704, (C) the candidate agrees to limit

the campaign expenditures of the candidate's qualified candidate committee in accordance with the provisions of section 9-702 of the general statutes, as amended by this act, and (D) the qualified candidate committee submits an application and the commission approves the application in accordance with the provisions of this section and subsections (a) and (b) of section 9-706 of the general statutes, as amended by this act.

- (2) The commission shall review each application in accordance with the provisions of subsection (d) of section 9-706 of the general statutes, as amended by this act. If the commission approves an application of any such qualified candidate committee, the commission shall determine the amount of the supplemental grant payable to the committee to be equal to three times the amount of contributions received by the committee that qualify as supplemental qualifying contributions for a supplemental grant under section 9-704 of the general statutes, as amended by this act, but in no case shall the amount of the supplemental grant exceed the maximum amounts provided for in subsection (a) or (b) of this section, as applicable. The commission shall authorize the payment of such grant in accordance with the provisions of subsection (d) of said section 9-706.
- (e) Notwithstanding the provisions of subsections (a) to (d), inclusive, of this section, if a participating candidate receives a supplemental grant for a primary campaign under subsection (a) or (b) of this section, is nominated at a primary and does not expend the entire supplemental grant for the primary campaign, the amount of the supplemental grant for the general election campaign shall be reduced by the total amount of any such unexpended supplemental primary campaign grant.
- Sec. 6. Section 9-706 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
 - (a) (1) A participating candidate for nomination to the office of state senator or state representative in 2008, or thereafter, or the office of Governor, Lieutenant Governor, Attorney General, State Comptroller,

Secretary of the State or State Treasurer in 2010, or thereafter, may apply to the State Elections Enforcement Commission for a grant from the fund under the Citizens' Election Program for a primary campaign, after the close of the state convention of the candidate's party that is called for the purpose of choosing candidates for nomination for the office that the candidate is seeking, if a primary is required under chapter 153, and (A) said party endorses the candidate for the office that the candidate is seeking, (B) the candidate is seeking nomination to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, State Treasurer or Secretary of the State or the district office of state senator or state representative and receives at least fifteen per cent of the votes of the convention delegates present and voting on any roll-call vote taken on the endorsement or proposed endorsement of a candidate for the office the candidate is seeking, or (C) the candidate circulates a petition and obtains the required number of signatures for filing a candidacy for nomination for (i) the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, State Treasurer or Secretary of the State or the district office of state senator or state representative, pursuant to section 9-400, or (ii) the municipal office of state senator or state representative, pursuant to section 9-406, whichever is applicable. The State Elections Enforcement Commission shall make any such grants to participating candidates in accordance with the provisions of subsections (d) to (g), inclusive, of this section.

- (2) A participating candidate for nomination to the office of state senator or state representative in 2008, or thereafter, or the office of Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer in 2010, or thereafter, may apply to the State Elections Enforcement Commission for a grant from the fund under the Citizens' Election Program for a general election campaign:
- (A) After the close of the state or district convention or municipal caucus, convention or town committee meeting, whichever is applicable, of the candidate's party that is called for the purpose of choosing candidates for nomination for the office that the candidate is

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seeking, if (i) said party endorses said candidate for the office that the candidate is seeking and no other candidate of said party files a candidacy with the Secretary of the State in accordance with the provisions of section 9-400 or 9-406, whichever is applicable, (ii) the candidate is seeking election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, State Treasurer or Secretary of the State or the district office of state senator or state representative and receives at least fifteen per cent of the votes of the convention delegates present and voting on any roll-call vote taken on the endorsement or proposed endorsement of a candidate for the office the candidate is seeking, no other candidate for said office at such convention either receives the party endorsement or said percentage of said votes for said endorsement or files a certificate of endorsement with the Secretary of the State in accordance with the provisions of section 9-388 or a candidacy with the Secretary of the State in accordance with the provisions of section 9-400, and no other candidate for said office circulates a petition and obtains the required number of signatures for filing a candidacy for nomination for said office pursuant to section 9-400, (iii) the candidate is seeking election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, State Treasurer or Secretary of the State or the district office of state senator or state representative, circulates a petition and obtains the required number of signatures for filing a candidacy for nomination for said office pursuant to section 9-400 and no other candidate for said office at the state or district convention either receives the party endorsement or said percentage of said votes for said endorsement or files a certificate of endorsement with the Secretary of the State in accordance with the provisions of section 9-388 or a candidacy with the Secretary of the State in accordance with the provisions of section 9-400, or (iv) the candidate is seeking election to the municipal office of state senator or state representative, circulates a petition and obtains the required number of signatures for filing a candidacy for nomination for the office the candidate is seeking pursuant to section 9-406 and no other candidate for said office at the caucus, convention or town committee meeting either receives the

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party endorsement or files a certification of endorsement with the town clerk in accordance with the provisions of section 9-391;

- (B) After any primary held by such party for nomination for said office, if the Secretary of the State declares that the candidate is the party nominee in accordance with the provisions of section 9-440;
- 949 (C) In the case of a minor party candidate, after the nomination of 950 such candidate is certified and filed with the Secretary of the State 951 pursuant to section 9-452; or
 - (D) In the case of a petitioning party candidate, after approval by the Secretary of the State of such candidate's nominating petition pursuant to section 9-453o.
 - (3) A participating candidate for nomination to the office of state senator or state representative at a special election in 2008, or thereafter, may apply to the State Elections Enforcement Commission for a grant from the fund under the Citizens' Election Program for a general election campaign after the close of the district convention or municipal caucus, convention or town committee meeting of the candidate's party that is called for the purpose of choosing candidates for nomination for the office that the candidate is seeking.
 - (4) Notwithstanding the provisions of subdivisions (1) and (2) of this subsection, no participating candidate for nomination or election who changes the candidate's status as a major party, minor party or petitioning party candidate or becomes a candidate of a different party, after filing the affidavit required under section 9-703, as amended by this act, shall be eligible to apply for a grant under the Citizens' Election Program for such candidate's primary campaign for such nomination or general election campaign for such election. The provisions of this subdivision shall not apply in the case of a candidate who is nominated by more than one party and does not otherwise change the candidate's status as a major party, minor party or petitioning party candidate.

- 975 (b) The application shall include a written certification that:
- 976 (1) The candidate committee has received the required amount of qualifying contributions;
- 978 (2) The candidate committee has repaid all moneys borrowed on 979 behalf of the campaign, as required by subsection (b) of section 9-710;
- 980 (3) The candidate committee has returned any contribution of five 981 dollars or more from an individual who does not include the 982 individual's name and address with the contribution;
- 983 (4) The candidate committee has returned all contributions or 984 portions of contributions that do not meet the criteria for qualifying 985 contributions under section 9-704, as amended by this act, and 986 transmitted all excess qualifying contributions and supplemental 987 qualifying contributions to the Citizens' Election Fund, except as 988 provided for under subsection (e) of said section 9-704;
- (5) The campaign treasurer of the candidate committee will: (A) Comply with the provisions of chapters 155 and 157, and (B) maintain and furnish all records required pursuant to chapters 155 and 157 and any regulation adopted pursuant to such chapters;
- 993 (6) All moneys received from the Citizens' Election Fund will be 994 deposited upon receipt into the depository account of the candidate 995 committee;
 - (7) The campaign treasurer of the candidate committee will expend all moneys received from the fund in accordance with the provisions of subsection (g) of section 9-607 and regulations adopted by the State Elections Enforcement Commission under subsection (e) of this section; and
 - (8) If the candidate withdraws from the campaign, becomes ineligible or dies during the campaign, the candidate committee of the candidate will return to the commission, for deposit in the fund, all moneys received from the fund pursuant to [sections 9-700 to 9-716,

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inclusive] this chapter, and section 5 of this act, which said candidate committee has not spent as of the date of such occurrence.

(c) The application shall be accompanied by a cumulative itemized accounting of all funds received, expenditures made and expenses incurred but not yet paid by the candidate committee as of three days before the applicable application deadline contained in subsection (g) of this section. Such accounting shall be sworn to under penalty of false statement by the campaign treasurer of the candidate committee. The commission shall prescribe the form of the application and the cumulative itemized accounting. The form for such accounting shall conform to the requirements of section 9-608. Both the candidate and the campaign treasurer of the candidate committee shall sign the application.

(d) In accordance with the provisions of subsection (g) of this section, the commission shall review the application, determine whether (1) the candidate committee for the applicant has received the required qualifying contributions, (2) in the case of an application for a grant from the fund for a primary campaign, the applicant has met the applicable condition under subsection (a) of this section for applying for such grant and complied with the provisions of subsections (b) and (c) of this section, (3) in the case of an application for a grant from the fund for a general election campaign, the applicant has met the applicable condition under subsection (a) of this section for applying for such moneys and complied with the provisions of subsections (b) and (c) of this section, and (4) in the case of an application by a minor party or petitioning party candidate for a grant from the fund for a general election campaign, the applicant qualifies as an eligible minor party candidate or an eligible petitioning party candidate, whichever is applicable. If the commission approves an application, the commission shall determine the amount of the grant payable to the candidate committee for the applicant pursuant to section 9-705, as amended by this act, or section 5 of this act, from the fund, and notify the State Comptroller and the candidate of such candidate committee, of such amount. If the timing of the commission's approval of the grant in

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relation to the Secretary of the State's determination of ballot status is such that the commission cannot determine whether the qualified candidate committee is entitled to the applicable full initial grant for the primary or election or the applicable partial grant for the primary or election, as the case may be, the commission shall approve the lesser applicable partial initial grant. The commission shall then authorize the payment of the remaining portion of the applicable grant after the commission has knowledge of the circumstances regarding the ballot status of the opposing candidates in such primary or election. Not later than two business days following notification by the commission, the State Comptroller shall draw an order on the State Treasurer for payment of any such approved amount to the qualified candidate committee from the fund.

- (e) The State Elections Enforcement Commission shall adopt regulations, in accordance with the provisions of chapter 54, on permissible expenditures under subsection (g) of section 9-607 for qualified candidate committees receiving grants from the fund under [sections 9-700 to 9-716, inclusive] this chapter, and section 5 of this act.
- (f) If a nominated participating candidate dies, withdraws the candidate's candidacy or becomes disqualified to hold the office for which the candidate has been nominated after the commission approves the candidate's application for a grant under this section, the candidate committee of the candidate who is nominated to replace said candidate pursuant to section 9-460 shall be eligible to receive grants from the fund without complying with the provisions of section 9-704, as amended by this act, if said replacement candidate files an affidavit under section 9-703, as amended by this act, certifying the candidate's intent to abide by the expenditure limits set forth in subsection (c) of section 9-702, as amended by this act, and notifies the commission on a form prescribed by the commission.
- (g) (1) (A) Any application submitted pursuant to this section for a primary or general election shall be submitted in accordance with the [following schedule: [(A)] (i) By five o'clock p.m. on the third Thursday

1072 in May of the year that the primary or election will be held at which 1073 such participating candidate will seek nomination or election, or [(B)] (ii) by five o'clock p.m. on any subsequent Thursday of such year, 1074 1075 provided no application shall be accepted by the commission after five 1076 o'clock p.m. on or after the fourth to last Friday prior to the primary or 1077 election at which such participating candidate will seek nomination or 1078 election] schedules provided for in subparagraph (B) of this 1079 subdivision.

- (B) (i) An applicant seeking a grant for a primary campaign under section 9-705, as amended by this act, or a supplemental grant for a primary campaign under section 5 of this act shall apply as follows:
- 1083 <u>(I) By five o'clock p.m. on the third Thursday in May of the year that</u> 1084 <u>the primary or election will be held at which such participating</u> 1085 candidate will seek nomination or election.
- 1086 (II) By five o'clock p.m. on any subsequent Thursday of such year, 1087 provided no application shall be accepted by the commission after five 1088 o'clock p.m. on or after the fourth Friday immediately prior to the 1089 primary at which such participating candidate will seek nomination.
- 1090 (III) Notwithstanding the provisions of this subparagraph 1091 concerning applications for grants for a primary campaign, on the 1092 seventh and ninth Thursdays immediately prior to the primary, the 1093 commission shall only review and make determinations about 1094 applications for an initial grant under section 9-705, as amended by 1095 this act, or a supplemental grant under section 5 of this act, for 1096 candidates seeking nomination to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State 1097 1098 or State Treasurer, and supplemental submissions to previously 1099 submitted applications continued without prejudice for candidates 1100 seeking nomination to the office of Governor, Lieutenant Governor, 1101 Attorney General, State Comptroller, Secretary of the State, State Treasurer, state senator or state representative, and on the third 1102 1103 Thursday immediately prior to the primary, the commission shall only 1104 review and make determinations about applications for supplemental

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grants under section 5 of this act for candidates seeking nomination to the office of Governor, Lieutenant Governor, Attorney General, State

- 1107 <u>Comptroller, Secretary of the State or State Treasurer.</u>
- 1108 <u>(ii) An applicant seeking a grant for a general election campaign</u> 1109 under section 9-705, as amended by this act, or a supplemental grant
- for a general election campaign under section 5 of this act shall apply
- 1111 as follows:
- 1112 (I) By five o'clock p.m. on the third Thursday in May of the year that
- the election will be held in which such participating candidate will
- 1114 <u>seek election.</u>
- 1115 (II) By five o'clock p.m. on any subsequent Thursday of such year,
- provided no application shall be accepted by the commission after five
- o'clock p.m. on or after the fifth Thursday immediately prior to the
- election at which such participating candidate will seek election in the
- case of an applicant seeking a grant under section 9-705, as amended
- by this act, and no application shall be accepted by the commission
- after five o'clock p.m. on or after the third Thursday immediately prior
- to the election at which such participating candidate will seek election
- in the case of an applicant seeking a supplemental grant under section
- 1124 5 of this act.
- 1125 (III) Notwithstanding the provisions of this section concerning
- applications for grants for a general election campaign, only on the
- 1127 ninth Thursday immediately prior to the election, on the fourth
- 1128 Thursday immediately prior to the election, and on the third Thursday
- immediately prior to the election, the commission shall only review
- and make determinations about applications for supplemental grants
- under section 5 of this act and supplemental submissions to previously
- submitted applications continued without prejudice for candidates
- seeking election to the office of Governor, Attorney General, State
- 1134 Comptroller, Secretary of the State, State Treasurer, state senator or
- state representative.
- 1136 (C) Not later than (i) four business days following any such

1137 Thursday or Friday, [as applicable, or, in the event of a national, 1138 regional or local emergency or local natural disaster, as soon thereafter 1139 as is practicable as described in subparagraph (B) of this subdivision, 1140 the commission shall review any application from a participating 1141 candidate seeking nomination or election to the office of state senator 1142 or state representative, or (ii) ten business days following any such 1143 Thursday or Friday, as described in said subparagraph (B), from 1144 participating candidates seeking nomination or election to the office of 1145 Governor, Lieutenant Governor, Attorney General, State Comptroller, 1146 Secretary of the State or State Treasurer, received by such Thursday or 1147 Friday, in accordance with the provisions of subsection (d) of this 1148 section, and determine whether such application shall be approved or 1149 disapproved. In the case of a natural, regional or local emergency or local natural disaster, the commission shall review any such 1150 1151 application as soon thereafter as is practicable. For any such initial 1152 submission of an application that is approved, any disbursement of 1153 funds shall be made not later than twelve business days prior to any 1154 such primary or general election. From the third week of June in even-1155 numbered years until the third week in July, the commission shall 1156 meet twice weekly to determine whether or not to approve 1157 applications for grants if there are pending grant applications.

(2) Notwithstanding the provisions of subdivision (1) of this subsection, no application for a special election shall be accepted by the commission after five o'clock p.m. on or after ten business days prior to the special election at which such participating candidate will seek election. Not later than three business days following such deadline, or, in the event of a national, regional or local emergency or local natural disaster, as soon thereafter as practicable, the commission shall review any such application received by such deadline, in accordance with the provisions of subsection (d) of this section, and determine whether such application shall be approved or disapproved. For any such application that is approved, any disbursement of funds shall be made not later than seven business days prior to any such special election.

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1171 (3) The commission shall publish such application review schedules 1172 and meeting schedules on the commission's web site and with the 1173 Secretary of the State.

- Sec. 7. Section 9-713 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- 1176 (a) If the State Elections Enforcement Commission determines that 1177 contributions, loans or other funds have been received, or that an 1178 expenditure is made, or obligated to be made, by a nonparticipating candidate who is opposed by one or more participating candidates for 1179 1180 the office of state senator or state representative in a primary campaign 1181 or a general election campaign, which in the aggregate exceed one 1182 hundred per cent of the applicable expenditure limit for the applicable 1183 primary or general election campaign period, as defined in subdivision 1184 (1) of subsection (b) of section 9-712, as amended by this act, the 1185 commission shall process a voucher not later than two business days 1186 after the commission's determination and the State Comptroller shall 1187 draw an order on the State Treasurer for payment, by electronic fund 1188 transfer directly into the campaign account of each such participating 1189 candidate, not later than three business days after receipt of an 1190 authorized voucher from the commission. The commission's 1191 determination may be made either on its own initiative to review the 1192 contributions, loans or other funds received or expenditures made, or 1193 obligated to be made of the nonparticipating candidate or upon 1194 request for review by any said participating candidate. Supplemental 1195 grant money under this subsection shall only be transmitted to the 1196 candidate committee of each such participating candidate who has not 1197 made an expenditure in excess of the sum of (1) the amount of the 1198 applicable qualifying contributions that the participating candidate is 1199 required to receive under section 9-704, as amended by this act, to be 1200 eligible for grants from the Citizens' Election Fund, and (2) one 1201 hundred per cent of the applicable primary or general election grant. 1202 The amount of such additional moneys for each such participating 1203 candidate shall be twenty-five per cent of the applicable primary or 1204 general election grant. Upon the commission's determination that a

participating candidate is entitled to any such additional moneys, the candidate committee may incur the obligation to make such additional expenditures not greater than the amount approved as a supplemental grant received under this subsection. No participating candidate shall receive more than one payment of moneys under this subsection for any campaign.

(b) If the State Elections Enforcement Commission determines that contributions, loans or other funds have been received, or that an expenditure is made, or obligated to be made, by a nonparticipating candidate who is opposed by one or more participating candidates for the office of state senator or state representative in a primary campaign or a general election campaign, which in the aggregate exceeds one hundred twenty-five per cent of the applicable expenditure limit for the applicable primary or general election campaign period, as defined in subdivision (1) of subsection (b) of section 9-712, as amended by this act, the commission shall process a voucher not later than two business days after its determination and the State Comptroller shall draw an order on the State Treasurer for payment, by electronic fund transfer directly into the campaign account of each such participating candidate, not later than three business days after receipt of an authorized voucher from the commission. The commission's determination may be made either on its own initiative to review the contributions, loans or other funds received, or expenditures made or obligated to be made of the nonparticipating candidate or upon request for review by any said participating candidate. Supplemental grant money under this subsection shall only be transmitted to the candidate committee of each such participating candidate who has not made an expenditure in excess of the sum of (1) the amount of the applicable qualifying contributions that the participating candidate is required to receive under section 9-704, as amended by this act, to be eligible for grants from the Citizens' Election Fund, and (2) one hundred per cent of the applicable primary or general election grant. The amount of such additional moneys for each such participating candidate shall be twenty-five per cent of the applicable primary or general election grant. Upon the commission's determination that a

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participating candidate is entitled to any such additional moneys, the candidate committee may incur the obligation to make such additional expenditures not greater than the amount approved as a supplemental grant received under this subsection. No participating candidate shall receive more than one payment of moneys under this subsection for any campaign.

(c) If the State Elections Enforcement Commission determines that contributions, loans or other funds have been received, or that an expenditure is made, or obligated to be made, by a nonparticipating candidate who is opposed by one or more participating candidates for the office of state senator or state representative in a primary campaign or a general election campaign, which in the aggregate exceeds one hundred fifty per cent of the applicable expenditure limit for the applicable primary or general election campaign period, as defined in subdivision (1) of subsection (b) of section 9-712, as amended by this act, the commission shall process a voucher not later than two business days after its determination and the State Comptroller shall draw an order on the State Treasurer for payment, by electronic fund transfer directly into the campaign account of each such participating candidate, not later than three business days after receipt of an authorized voucher from the commission. The commission's determination may be made either on its own initiative to review the contributions, loans or other funds received, or expenditures made or obligated to be made of the nonparticipating candidate or upon request for review by any said participating candidate. Supplemental grant money under this subsection shall only be transmitted to the candidate committee of each such participating candidate who has not made an expenditure in excess of the sum of (1) the amount of the applicable qualifying contributions that the participating candidate is required to receive under section 9-704, as amended by this act, to be eligible for grants from the Citizens' Election Fund, and (2) one hundred per cent of the applicable primary or general election grant. The amount of such additional moneys for each such participating candidate shall be twenty-five per cent of the applicable primary or general election grant. Upon the commission's determination that a

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participating candidate is entitled to any such additional moneys, the candidate committee may incur the obligation to make such additional expenditures not greater than the amount approved as a supplemental grant received under this subsection. No participating candidate shall receive more than one payment of moneys under this subsection for any campaign.

(d) If the State Elections Enforcement Commission determines that contributions, loans or other funds have been received, or that an expenditure is made, or obligated to be made, by a nonparticipating candidate who is opposed by one or more participating candidates for the office of state senator or state representative in a primary campaign or a general election campaign, which in the aggregate exceeds one hundred seventy-five per cent of the applicable expenditure limit for the applicable primary or general election campaign period, as defined in subdivision (1) of subsection (b) of section 9-712, as amended by this act, the commission shall process a voucher not later than two business days after its determination and the State Comptroller shall draw an order on the State Treasurer for payment, by electronic fund transfer directly into the campaign account of each such participating candidate, not later than three business days after receipt of an authorized voucher from the commission. The commission's determination may be made either on its own initiative to review the contributions, loans or other funds received, or expenditures made or obligated to be made of the nonparticipating candidate or upon request for review by any said participating candidate. Supplemental grant money under this subsection shall only be transmitted to the candidate committee of each such participating candidate who has not made an expenditure in excess of the sum of (1) the amount of the applicable qualifying contributions that the participating candidate is required to receive under section 9-704, as amended by this act, to be eligible for grants from the Citizens' Election Fund, and (2) one hundred per cent of the applicable primary or general election grant. The amount of such additional moneys for each such participating candidate shall be twenty-five per cent of the applicable primary or general election grant. Upon the commission's determination that a

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participating candidate is entitled to any such additional moneys, the candidate committee may incur the obligation to make such additional expenditures not greater than the amount approved as a supplemental grant received under this subsection. No participating candidate shall receive more than one payment of moneys under this subsection for any campaign.

(e) If the State Elections Enforcement Commission determines that an expenditure is made, or obligated to be made, by a participating candidate who is opposed by one or more other participating candidates for the office of state senator or state representative in a primary campaign or a general election campaign, which is in excess of the sum of (1) the amount of the applicable qualifying contributions that a candidate is required to receive under section 9-704, as amended by this act, to be eligible for grants from the Citizens' Election Fund, and (2) the amount of the applicable grant for said participating candidates for said campaign authorized under section 9-705, as amended by this act, the State Elections Enforcement Commission shall immediately notify the State Comptroller and said participating candidates and shall process a voucher equal to the amount of such excess expenditure utilizing the State Comptroller's accounting system. Any such voucher shall be processed by the commission not later than two business days after its determination that said [nonparticipating] participating candidate has made, or incurred the obligation to make, an expenditure or expenditures in such excess amounts. The State Comptroller shall draw an order on the State Treasurer for payment, by electronic fund transfer directly into the campaign account of each such participating candidate, not later than three business days after receipt of an authorized voucher from the commission. The commission's determination may be made either on its own initiative to review the expenditures of the nonparticipating candidate or upon request for review by said participating candidate. Upon the commission's determination that a participating candidate is entitled to any such additional moneys, the candidate committee may incur the obligation to make such additional expenditures not greater than the amount approved as a supplemental grant under this subsection. No

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participating candidate shall receive more than one payment of moneys under this section for any campaign. Notwithstanding the provisions of this subsection, if the State Comptroller receives a notice described in this subsection from the State Elections Enforcement Commission within the seven-day period preceding a primary or an election or if such additional moneys are held in escrow within the Citizens' Election Fund for the benefit of the candidate committee of any such participating candidate on the seventh day prior to the day of a primary or an election, the State Comptroller (A) shall not hold any such additional moneys in escrow within the Citizens' Election Fund, and (B) shall immediately pay such additional moneys to the candidate committee of each such participating candidate.

(f) If, during the ninety-six-hour period beginning at five o'clock p.m. on the Thursday preceding the day of a primary or an election, the commission receives a notice from a participating candidate for the office of state senator or state representative that contributions, loans or other funds have been received, or that an expenditure is made, or obligated to be made, which exceed one hundred per cent, one hundred twenty-five per cent, one hundred fifty per cent, or one hundred seventy-five per cent of the applicable expenditure limit for the applicable primary or general election period, as defined in subdivision (1) of subsection (b) of section 9-712, as amended by this act, by an opposing candidate that have not yet been reported to the commission, the commission shall expeditiously review such notice and notify the State Comptroller, who shall immediately process a voucher, utilizing the State Comptroller's accounting system. The amount of such additional moneys for each such participating candidate shall be equivalent to the applicable grant that would be received pursuant to subsection (a), (b), (c) [,] or (d) of this section. Upon the commission's determination that a participating candidate is entitled to any such additional moneys, the candidate committee may incur the obligation to make such additional expenditures not greater than the amount approved as a supplemental grant under this subsection.

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(g) The maximum aggregate amount of moneys that the qualified candidate committee of a participating candidate <u>for the office of state senator or state representative</u> shall receive under subsections (a) to (f), inclusive, of this section for a primary campaign or a general election campaign to match excess expenditures by an opposing candidate shall not exceed (1) the highest amount of excess expenditures by an opposing candidate during said campaign, or (2) the amount of the applicable grant authorized under section 9-705, as amended by this <u>act</u>, for said participating candidate for the campaign, whichever is less.

- Sec. 8. Section 9-714 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
 - (a) The State Elections Enforcement Commission, (1) upon the receipt of a report under subsection (e) of section 9-612 that an independent expenditure has been made or obligated to be made, with the intent to promote the defeat of a participating candidate for the office of state senator or state representative whose candidate committee has received a grant under section 9-705, as amended by this act, for a primary campaign or a general election campaign, or (2) upon determining at the request of any such participating candidate that such an independent expenditure has been made or obligated to be made with such intent, shall immediately notify the State Comptroller that additional moneys, equal to the amount of the independent expenditure, shall be paid to the candidate committee of such participating candidate. Not later than two business days following notification by the commission, the State Comptroller shall draw an order on the State Treasurer for payment of such amount to said candidate committee from the Citizens' Election Fund.
 - (b) If, during the ninety-six-hour period beginning at five o'clock p.m. on the Thursday preceding the day of a primary or an election, the commission receives (1) a report under subsection (e) of section 9-612 that an independent expenditure has been made or obligated to be made, with the intent to promote the defeat of a participating

candidate for the office of state senator or state representative, or (2) a notice from a participating candidate that such an independent expenditure has been made or obligated to be made but not yet been reported to the commission, the commission shall expeditiously review the report or such notice, as the case may be, and notify the State Comptroller, who shall immediately wire or electronically transfer moneys from the fund, in the amount of such independent expenditures confirmed or estimated by the commission, to the qualified candidate committee of said participating candidate or to any person requested by the participating candidate.

- (c) (1) The maximum aggregate amount of moneys that the qualified candidate committee of a participating candidate <u>for the office of state senator or state representative</u> shall receive under subsections (a) and (b) of this section to match independent expenditures made, or obligated to be made, with the intent to promote the defeat of said participating candidate shall not exceed the amount of the applicable grant authorized under section 9-705, as amended by this act, for the participating candidate for the primary campaign or general election campaign in which such independent expenditures are made or obligated to be made.
- (2) The additional moneys under subsections (a) and (b) of this section to match independent expenditures shall be granted to the qualified candidate committee of a participating candidate for the office of state senator or state representative opposed by a nonparticipating candidate only if the nonparticipating candidate's campaign expenditures, combined with the amount of the independent expenditures, exceed the amount of the applicable grant authorized under section 9-705, as amended by this act, for the participating candidate for the primary campaign or general election campaign in which such independent expenditures are made or obligated to be made.
- Sec. 9. Section 9-717 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):

(a) If, on or after April fifteenth of any year in which a general election is scheduled to occur, or on or after the forty-fifth day prior to any special election scheduled relative to any vacancy in the General Assembly, a court of competent jurisdiction prohibits or limits, or continues to prohibit or limit, the expenditure of funds from the Citizens' Election Fund established in section 9-701, as amended by this act, for grants or moneys for candidate committees [authorized under sections 9-700 to 9-716, inclusive, for a period of one hundred sixty-eight hours or more, (1) sections 1-100b, 9-700 to 9-716, inclusive, 9-750, 9-751 and 9-760 and section 49 of public act 05-5 of the October 25 special session shall be inoperative and have no effect with respect to any race that is the subject of such court order until December thirty-first of such year, and (2) (A) the amendments made to the provisions of the sections of the general statutes pursuant to public act 05-5 of the October 25 special session shall be inoperative until December thirty-first of such year, (B) the provisions of said sections of the general statutes, revision of 1958, revised to December 30, 2006, shall be effective until December thirty-first of such year, and (C) the provisions of subsections (g) to (j), inclusive, of section 9-612 shall not be implemented until December thirty-first of such year. If, on the April fifteenth of the second year succeeding such original prohibition or limitation, any such prohibition or limitation is in effect, the provisions of subdivisions (1) and (2) of this section shall be implemented and remain in effect without the time limitation described in said subdivisions (1) and (2)] for candidates for the office of state senator or state representative, provisions of this chapter pertaining to candidate committees for such candidates shall be inoperative and have no effect with respect to any race for the office of state senator or state representative. Provisions of this chapter pertaining to candidate committees for the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State and State Treasurer shall remain operative and in effect.

(b) Any candidate who has received any funds pursuant to the provisions of sections 1-100b, 9-700 to 9-716, inclusive, <u>as amended by this act</u>, 9-750, 9-751 and 9-760 and section 49 of public act 05-5 of the

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October 25 special session prior to any such prohibition or limitation

- taking effect may retain and expend such funds in accordance with
- said sections unless prohibited from doing so by the court.
- Sec. 10. Section 9-701 of the 2010 supplement to the general statutes
- is repealed and the following is substituted in lieu thereof (Effective
- 1485 from passage):
- 1486 There is established the "Citizens' Election Fund", which shall be a
- separate, nonlapsing account within the General Fund. The fund may
- 1488 contain any moneys required by law to be deposited in the fund.
- 1489 Investment earnings credited to the assets of the fund shall become
- part of the assets of the fund. The State Treasurer shall administer the
- fund. All moneys deposited in the fund shall be used for the purposes
- of sections 9-700 to 9-716, inclusive, as amended by this act, and
- section 5 of this act.
- Sec. 11. Section 9-703 of the general statutes is repealed and the
- following is substituted in lieu thereof (*Effective from passage*):
- 1496 (a) Each candidate for nomination or election to the office of state
- senator or state representative in 2008, or thereafter, or the office of Governor, Lieutenant Governor, Attorney General, State Comptroller,
- Governor, Lieutenant Governor, Attorney General, State Comptroller,
- Secretary of the State or State Treasurer in 2010, or thereafter, shall file
- an affidavit with the State Elections Enforcement Commission. The affidavit shall include a written certification that the candidate either
- 1502 intends to abide by the expenditure limits under the Citizens' Election
- 1503 Program set forth in subsection (c) of section 9-702, as amended by this
- 1504 act, or does not intend to abide by said limits. If the candidate intends
- 1505 to abide by said limits, the affidavit shall also include written
- 1506 certifications (1) that the campaign treasurer of the candidate
- 1507 committee for said candidate shall expend any moneys received from
- 1508 the Citizens' Election Fund in accordance with the provisions of
- 1509 subsection (g) of section 9-607 and regulations adopted by the State
- 1510 Elections Enforcement Commission under subsection (e) of section 9-
- 1511 706, as amended by this act, (2) that the candidate shall repay to the
- 1512 fund any such moneys that are not expended in accordance with

subsection (g) of said section 9-607 and said regulations, (3) that the candidate and the campaign treasurer shall comply with the provisions of subdivision (1) of subsection (a) of section 9-711, as amended by this act, and (4) stating the candidate's status as a major party, minor party or petitioning party candidate and, in the case of a major party or minor party candidate, the name of such party. The written certification described in subdivision (3) of this subsection shall be made by both the candidate and the campaign treasurer of the candidate committee for said candidate. A candidate for nomination or election to any such office shall file such affidavit not later than four o'clock p.m. on the twenty-fifth day before the day of a primary, if applicable, or on the fortieth day before the day of the election for such office, except that in the case of a special election for the office of state senator or state representative, the candidate shall file such affidavit not later than four o'clock p.m. on the twenty-fifth day before the day of such special election.

- (b) A candidate who so certifies the candidate's intent to abide by the expenditure limits under the Citizens' Election Program set forth in subsection (c) of section 9-702, as amended by this act, shall be referred to in [sections 9-700 to 9-716, inclusive,] this chapter and section 5 of this act as a "participating candidate" and a candidate who so certifies the candidate's intent to not abide by said limits shall be referred to in [sections 9-700 to 9-716, inclusive,] this chapter and section 5 of this act as a "nonparticipating candidate". The commission shall prepare a list of the participating candidates and a list of the nonparticipating candidates and shall make such lists available for public inspection.
- (c) A participating candidate may withdraw from participation in the Citizens' Election Program before applying for an initial grant under section 9-706, as amended by this act, by filing an affidavit with the State Elections Enforcement Commission, which includes a written certification of such withdrawal. A candidate who files such an affidavit shall be deemed to be a nonparticipating candidate for the purposes of [sections 9-700 to 9-716, inclusive,] this chapter and section 5 of this act and shall not be penalized for such withdrawal. No

participating candidate shall withdraw from participation in the Citizens' Election Program after applying for an initial grant under

- section 9-706, as amended by this act.
- Sec. 12. Section 9-707 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- 1552 Following the initial deposit of moneys from the Citizens' Election 1553 Fund into the depository account of a qualified candidate committee, 1554 no contribution, loan, amount of the candidate's own moneys or any 1555 other moneys received by the candidate or the campaign treasurer on 1556 behalf of the committee shall be deposited into said depository 1557 account, except (1) any grants from the fund under section 9-705, as 1558 amended by this act, and sections 9-713 and 9-714, as amended by this 1559 act, and section 5 of this act, and (2) [any additional moneys from the 1560 fund as provided in sections 9-713 and 9-714] any supplemental 1561 qualifying contributions received in accordance with the provisions of 1562 subsection (b) of section 9-704, as amended by this act, and the 1563 provisions of subdivision (3) of subsection (c) of section 9-702, as 1564 amended by this act.
- Sec. 13. Section 9-708 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):

A qualified candidate committee that received moneys from the Citizens' Election Fund for a primary campaign and whose candidate is the party nominee shall receive a grant from the fund for a general election campaign, unless such candidate is unopposed in the general election campaign, as described in subdivision (3) of subsection (i) of section 9-705, as amended by this act. Upon receiving verification from the Secretary of the State of the declaration by the Secretary of the State in accordance with the provisions of section 9-440 of the results of the votes cast at the primary, the State Elections Enforcement Commission shall notify the State Comptroller of the amount payable to such qualified candidate committee pursuant to section 9-705, as amended by this act. Not later than two business days following notification by the commission, the State Comptroller shall draw an order on the State

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Treasurer for payment of the general election campaign grant to said committee from said fund.

Sec. 14. Subsection (a) of section 9-711 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):

(a) If an expenditure in excess of the applicable expenditure limit set forth in subsection (c) of section 9-702, as amended by this act, is made or incurred by a qualified candidate committee that receives a grant from the Citizens' Election Fund pursuant to section 9-706, as amended by this act, (1) the candidate and campaign treasurer of said committee shall be jointly and severally liable for paying for the excess expenditure, (2) the committee shall not receive any additional grants or moneys from the fund for the remainder of the election cycle if the State Elections Enforcement Commission determines that the candidate or campaign treasurer of said committee had knowledge of the excess expenditure, (3) the campaign treasurer shall be subject to penalties under section 9-7b, and (4) the candidate of said candidate committee shall be deemed to be a nonparticipating candidate for the purposes of [sections 9-700 to 9-716, inclusive,] this chapter and section 5 of this act if the commission determines that the candidate or campaign treasurer of said committee had knowledge of the excess expenditure. The commission may waive the provisions of this subsection upon determining that an excess expenditure is de minimis. The commission shall adopt regulations, in accordance with the provisions of chapter 54, establishing standards for making such determinations. Such standards shall include, but not be limited to, a finding by the commission that the candidate or campaign treasurer has, from the candidate's or campaign treasurer's personal funds, either paid the excess expenditure or reimbursed the qualified candidate committee for its payment of the excess expenditure.

Sec. 15. Subsections (a) and (b) of section 9-716 of the general statutes are repealed and the following is substituted in lieu thereof (*Effective from passage*):

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(a) Not later than June 1, 2007, and annually thereafter, the State Elections Enforcement Commission shall issue a report on the status of the Citizens' Election Fund during the previous calendar year. Such report shall include the amount of moneys deposited in the fund, the sources of moneys received by category, the number of contributions, the number of contributors, the amount of moneys expended by category, the recipients of moneys distributed from the fund and an accounting of the costs incurred by the commission in administering the provisions of [sections 9-700 to 9-716, inclusive] this chapter and section 5 of this act.

(b) Not later than January first in any year in which a state election is to be held, the commission shall determine whether the amount of moneys in the fund is sufficient to carry out the purposes of [sections 9-700 to 9-716, inclusive] this chapter and section 5 of this act. If the commission determines that such amount is not sufficient to carry out such purposes, the commission shall, not later than three days after such later determination, (1) determine the percentage of the fund's obligations that can be met for such election, (2) recalculate the amount of each payment that each qualified candidate committee is entitled to receive under section 9-706, as amended by this act, by multiplying such percentage by the amount that such committee would have been entitled to receive under [sections 9-700 to 9-716, inclusive,] this chapter and section 5 of this act if there were a sufficient amount of moneys in the fund, and (3) notify each such committee of such insufficiency, percentage and applicable recalculation. After a qualified candidate committee under section 9-706, as amended by this act, first receives any such recalculated payment, the committee may resume accepting contributions, which shall not be subject to the restrictions on qualifying contributions under section 9-704, as amended by this act, and making expenditures from such contributions, up to the amount of expenditures made highest by an opposing nonparticipating candidate in the same primary campaign or general election campaign. The commission shall also issue a report on said determination.

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Sec. 16. Section 9-601a of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):

- (a) As used in this chapter, [and sections 9-700 to 9-716, inclusive] chapter 157 and section 5 of this act, "contribution" means:
- (1) Any gift, subscription, loan, advance, payment or deposit of money or anything of value, made for the purpose of influencing the nomination for election, or election, of any person or for the purpose of aiding or promoting the success or defeat of any referendum question or on behalf of any political party;
- 1656 (2) A written contract, promise or agreement to make a contribution 1657 for any such purpose;
- (3) The payment by any person, other than a candidate or campaign treasurer, of compensation for the personal services of any other person which are rendered without charge to a committee or candidate for any such purpose;
- (4) An expenditure when made by a person with the cooperation of, or in consultation with, any candidate, candidate committee or candidate's agent or which is made in concert with, or at the request or suggestion of, any candidate, candidate committee or candidate's agent, including a coordinated expenditure; or
- 1667 (5) Funds received by a committee which are transferred from another committee or other source for any such purpose.
- (b) As used in this chapter, [and sections 9-700 to 9-716, inclusive] chapter 157 and section 5 of this act, "contribution" does not mean:
- 1671 (1) A loan of money made in the ordinary course of business by a national or state bank;
- 1673 (2) Any communication made by a corporation, organization or 1674 association to its members, owners, stockholders, executive or 1675 administrative personnel, or their families;

(3) Nonpartisan voter registration and get-out-the-vote campaigns by any corporation, organization or association aimed at its members, owners, stockholders, executive or administrative personnel, or their families;

- (4) Uncompensated services provided by individuals volunteering their time;
- (5) The use of real or personal property, and the cost of invitations, food or beverages, voluntarily provided by an individual to a candidate or on behalf of a state central or town committee, in rendering voluntary personal services for candidate or party-related activities at the individual's residence, to the extent that the cumulative value of the invitations, food or beverages provided by the individual on behalf of any single candidate does not exceed two hundred dollars with respect to any single election, and on behalf of all state central and town committees does not exceed four hundred dollars in any calendar year;
- (6) The sale of food or beverage for use in a candidate's campaign or for use by a state central or town committee at a discount, if the charge is not less than the cost to the vendor, to the extent that the cumulative value of the discount given to or on behalf of any single candidate does not exceed two hundred dollars with respect to any single election, and on behalf of all state central and town committees does not exceed four hundred dollars in a calendar year;
- (7) Any unreimbursed payment for travel expenses made by an individual who on the individual's own behalf volunteers the individual's personal services to any single candidate to the extent the cumulative value does not exceed two hundred dollars with respect to any single election, and on behalf of all state central or town committees does not exceed four hundred dollars in a calendar year;
- (8) The payment, by a party committee, political committee or an individual, of the costs of preparation, display, mailing or other distribution incurred by the committee or individual with respect to

any printed slate card, sample ballot or other printed list containing the names of three or more candidates;

- (9) The donation of any item of personal property by an individual to a committee for a fund-raising affair, including a tag sale or auction, or the purchase by an individual of any such item at such an affair, to the extent that the cumulative value donated or purchased does not exceed fifty dollars;
- (10) (A) The purchase of advertising space which clearly identifies the purchaser, in a program for a fund-raising affair sponsored by the candidate committee of a candidate for an office of a municipality, provided the cumulative purchase of such space does not exceed two hundred fifty dollars from any single such candidate or the candidate's committee with respect to any single election campaign if the purchaser is a business entity or fifty dollars for purchases by any other person;
- (B) The purchase of advertising space which clearly identifies the purchaser, in a program for a fund-raising affair sponsored by a town committee, provided the cumulative purchase of such space does not exceed two hundred fifty dollars from any single town committee in any calendar year if the purchaser is a business entity or fifty dollars for purchases by any other person. Notwithstanding the provisions of this subparagraph, the following may not purchase advertising space in a program for a fund-raising affair sponsored by a town committee:

 (i) A communicator lobbyist, (ii) a member of the immediate family of a communicator lobbyist, (iii) a state contractor, (iv) a prospective state contractor, or (v) a principal of a state contractor or prospective state contractor. As used in this subparagraph, "state contractor", "prospective state contractor" and "principal of a state contractor or prospective state contractor have the same meanings as provided in subsection (g) of section 9-612;
 - (11) The payment of money by a candidate to the candidate's candidate committee;

(12) The donation of goods or services by a business entity to a committee for a fund-raising affair, including a tag sale or auction, to the extent that the cumulative value donated does not exceed one hundred dollars;

- (13) The advance of a security deposit by an individual to a telephone company, as defined in section 16-1, for telecommunications service for a committee, provided the security deposit is refunded to the individual;
- (14) The provision of facilities, equipment, technical and managerial support, and broadcast time by a community antenna television company, as defined in section 16-1, for community access programming pursuant to section 16-331a, unless (A) the major purpose of providing such facilities, equipment, support and time is to influence the nomination or election of a candidate, or (B) such facilities, equipment, support and time are provided on behalf of a political party;
 - (15) The sale of food or beverage by a town committee to an individual at a town fair, county fair or similar mass gathering held within the state, to the extent that the cumulative payment made by any one individual for such items does not exceed fifty dollars; or
 - (16) An organization expenditure by a party committee, legislative caucus committee or legislative leadership committee.

This act shall take effect as follows and shall amend the following sections:				
Section 1	from passage	9-700		
Sec. 2	from passage	9-702		
Sec. 3	from passage	9-704		
Sec. 4	from passage	9-705		
Sec. 5	from passage	New section		
Sec. 6	from passage	9-706		
Sec. 7	from passage	9-713		
Sec. 8	from passage	9-714		

Sec. 9	from passage	9-717
Sec. 10	from passage	9-701
Sec. 11	from passage	9-703
Sec. 12	from passage	9-707
Sec. 13	from passage	9-708
Sec. 14	from passage	9-711(a)
Sec. 15	from passage	9-716(a) and (b)
Sec. 16	from passage	9-601a

Statement of Legislative Commissioners:

In sections 1, 2(c), 3(a), 4(i), 5(e), and 13, provisions were rephrased for clarity and statutory consistency.

GAE Joint Favorable Subst.

The following Fiscal Impact Statement and Bill Analysis are prepared for the benefit of the members of the General Assembly, solely for purposes of information, summarization and explanation and do not represent the intent of the General Assembly or either chamber thereof for any purpose. In general, fiscal impacts are based upon a variety of informational sources, including the analyst's professional knowledge. Whenever applicable, agency data is consulted as part of the analysis, however final products do not necessarily reflect an assessment from any specific department.

OFA Fiscal Note

State Impact:

Agency Affected	Fund-Effect	FY 10 \$	FY 11 \$	FY 12 \$
Elect.	GF - Savings	200,000 -	1,800,000 -	Minimal
Enforcement		400,000	3,600,000	
Com.				

Note: GF=General Fund

Municipal Impact: None

Explanation

The bill would save the Citizens' Election Program (CEP) an estimated \$2 million - \$4 million during the November 2010 election cycle by:

- (1) Reducing initial grants to candidates for statewide offices other than Governor;
- (2) Eliminating independent expenditure and excess expenditure grants to candidates for any statewide office and replacing them with matching (according to contribution levels) supplemental grants; and
- (3) Eliminating grants for unopposed statewide and legislative candidates.

The bill also changes the threshold for minor and petitioning party ("third party") candidates for statewide offices to receive grants, which is not anticipated to have a fiscal impact.

It is estimated that the cost of the November 2010 election will range from \$32 million - \$48 million under provisions within current law. The bill reduces this range to \$30 million - \$44 million, depending upon participation levels in the CEP.

The Citizens' Election Fund (CEF), which supports the CEP, has a balance of \$43 million. The CEF is scheduled to receive approximately \$18 million in revenue during FY 11 with a planned transfer of \$7 million to the General Fund (net gain of \$11 million) to help cover the FY 11 General Fund projected deficit. The total funds available are therefore approximately \$54 million. It is uncertain whether or not the full \$18 million in revenue will be deposited into the fund prior to the November 2010 election since the deposits are dependant upon the amounts and timing of funds generated from unclaimed property.

SB 389: Changes in Grant Amounts				
	Current Law		SB 389	
		General		General
	Primary	Election	Primary	Election
Governor's Office				_
Grant Amount	1,250,000	3,000,000	1,250,000	3,000,000
Independent Expenditure (max)	1,250,000	3,000,000		
Excess Expenditure (max)	1,250,000	3,000,000		
Supplemental Grant ¹			937,500	2,250,000
Other Statewide Offices				
Grant Amount	375,000	750,000	200,000	500,000
Independent Expenditure (max)	375,000	750,000		
Excess Expenditure (max)	375,000	750,000		
Supplemental Grant ¹			180,000	375,000

¹Supplemental grants are distributed based on a 3:1 matching program where candidates received \$3 for every \$1 raised through additional contributions, up to a maximum amount. For example, in the gubernatorial primary, candidates may raise up to an additional \$312,500 and receive a matching grant of \$937,500.

The Out Years

The ongoing fiscal impact identified above would vary according to the election cycle and is subject to inflation.

OLR Bill Analysis sSB 389

AN ACT CONCERNING THE CITIZENS' ELECTION PROGRAM FOR STATE-WIDE OFFICES.

SUMMARY:

This bill makes changes to the voluntary Citizens' Election Program (CEP), and in effect, establishes a two-part public campaign financing system. Most changes affect only statewide office candidates. The current program's major provisions remain the same for legislative candidates.

With respect to statewide office candidates, the bill:

- 1. equalizes the general election grants for major, minor, and petitioning party candidates who participate in the program (participating candidates);
- 2. reduces both primary and general election grants, other than those for governor;
- 3. repeals the CEP's independent and excess expenditure provisions, thus eliminating matching grants for those purposes; and
- 4. replaces matching grants with supplemental qualifying contributions (QCs) and supplemental grants and adds these to candidate spending limits.

For legislative candidates, the bill retains:

- 1. the current thresholds of 10%, 15%, and 20% at which minor and petitioning party candidates must qualify for a grant (see below),
- 2. existing grant amounts, and

3. matching grants for independent and excess expenditures.

By law, an eligible minor party candidate may receive a grant for the general election equal to the grant for a major party candidate only if the candidate for the same office representing the same minor party at the last regular election received at least 20% of the votes cast for that office. Similarly, an eligible petitioning party candidate may receive a full grant for the general election only if the petition is signed by a number of qualified electors equal to 20% of the number of votes cast for the same office at the last regular election. (Both receive a one-third grant by meeting a 10% threshold and a two-thirds grant by meeting a 15% threshold.)

For both statewide office and legislative participating candidates who are unopposed, the bill eliminates general election grants and instead allows them to raise additional contributions up to 30% of the general election grant for that office (applicable grant).

It also revises the grant application and payment schedule. It blocks out certain dates for specified application types and generally gives the State Elections Enforcement Commissions (SEEC) more time to review statewide office candidate applications.

Finally, the bill makes changes to the program's reverter clause and makes several conforming and technical changes.

EFFECTIVE DATE: Upon passage

§3 — QUALIFYING CONTRIBUTIONS

By law, candidates must qualify to participate in the program by raising a specified amount in QCs from individual donors. The bill increases the limit on individual QCs from \$100 to \$500 for gubernatorial candidates and from \$100 to \$250 for other statewide office candidates. For state senators and state representatives, the limit remains at \$100. The total amount of QCs required to qualify for the program also remains the same.

§§ 1-3 & 5 — SUPPLEMENTAL QCs & GRANTS

For participating statewide office candidates, the bill eliminates matching grants for independent and excess expenditures and replaces them with supplemental QCs and grants. Current law authorizes these candidates to receive additional money in the form of matching grants if they are the target of independent expenditures promoting their defeat or if their opponent exceeds certain spending limits. Specifically, they may receive up to two times the applicable primary and general election grants to match independent and excess expenditures.

Instead, under the bill, qualified candidates who are nominated or otherwise qualify to appear on the ballot and who are eligible to receive an initial grant from the CEF may receive supplemental QCs and grants. By law, qualified candidates are those whom the SEEC approves for a CEF grant. Since the bill eliminates grants for unopposed candidates, these candidates are not eligible for supplemental grants. Similarly, since minor and petitioning party candidates are not eligible for primary grants, they may receive only general election supplemental QCs and grants.

The bill defines "supplemental qualifying contribution" as a contribution received to qualify for a supplemental grant and establishes the same criteria for them as initial QCs (e.g., contributions must be at least \$5). Likewise, it sets the limits on individual supplemental QCs equal to those on initial QCs. This means, for gubernatorial candidates the limit on individual supplemental QCs is \$500 and for other statewide office candidates, the limit is \$250.

The bill permits candidates to receive supplemental QCs after "qualifying for" an initial grant, but it does not specify that qualifying means approval of an application.

Eligibility

A qualified candidate committee is eligible to receive a supplemental grant for a primary campaign, if applicable, or a general election if it (1) collects and receives supplemental QCs; (2) returns all

such contributions that do not meet the criteria for supplemental QCs; and (3) submits an application, which the SEEC approves. In addition the candidate must agree to abide by the program's spending limits.

Candidates must submit an application, which the SEEC reviews just as it does primary and general election grant applications. Upon approval, the bill requires the commission to determine the supplemental grant amount, which equals three times the amount of supplemental QCs, up to the maximum for that office.

Maximum Supplemental Amounts

The supplemental grant amount equals three times the amount of supplemental QCs that a candidate raises, up to a maximum specified amount. Major party candidates may raise supplemental QCs and receive supplemental grants for any primary and general election in which they run. Minor and petitioning party candidates may raise and receive them for the general election only. At least 75% must come from state residents. If a candidate receives a supplemental grant for a primary but does not spend it all, any general election supplemental grant is reduced by the unspent amount.

Table 1 shows the maximum allowable supplemental QCs and supplemental grants for statewide office candidates.

Candidate for Individual Primary Campaign General Election Campaign Supplemental (Major Party Candidates Only) **QC Limit** Maximum Maximum Maximum Maximum Aggregate Supplemental Aggregate Supplemental Supplemental Grant* Supplemental Grant* QCs QCs \$500 \$312,500 750,000 2,250,000 Governor \$937,500 375,000 250 60,000 180,000 125,000 Other statewide offices

Table 1: Maximum Supplemental QCs and Supplemental Grants*

N/A means not applicable

Excess QCs and Supplemental QCs

Current law requires participating candidates to return excess QCs

^{*} To be adjusted by the SEEC for inflation beginning in 2014.

to the state treasurer for deposit in the CEF. The bill allows statewide office candidates to use excess QCs and supplemental QCs to qualify for grants. Specifically, candidates may use excess QCs toward a supplemental grant (i.e., as supplemental QCs). The maximum amount they may use for this purpose is 20% of the required QCs. Candidates may also use excess supplemental QCs collected to receive a supplemental primary grant toward a supplemental general election grant. The limit is 20% of the maximum allowable supplemental QCs for a primary grant.

Multiple Contributions

The bill authorizes individuals to give the same candidate separate QCs and supplemental QCs, up to the limit. For example, a contributor may give a gubernatorial candidate an initial \$500 QC, as well as a \$500 supplemental QC.

§§ 2 & 4 — UNOPPOSED CANDIDATES

The bill defines "unopposed" for the purposes of the Citizens' Election Program and prohibits participating candidates who are unopposed in the general election from receiving an initial grant or a supplemental grant, in the case of a statewide office candidate. Table 2 describes opposed and unopposed status.

Table 2: Opposed and Unopposed Status

OPPOSED	UNOPPOSED
A participating candidate is opposed when he or she is nominated for election and:	A participating candidate is unopposed when: 1. he or she has no opponent, <i>or</i>
 another major party endorses a candidate to run against him or her; another major party candidate qualifies to run in the same race by receiving 15% of the vote on a roll call at a convention or submitting petitions with the required number of signatures; or a minor or petitioning party candidate qualifies to appear on the ballot in the same race 	2. the only opponent is exempt from forming a candidate committee because he or she will not raise or spend more than \$1,000
and the opposing candidate is:	
required to form a candidate committee because he or she will raise or spend more than \$1,000;	
self-funded, thus exempt from forming a candidate committee, but must file campaign finance statements	

because he or she spends over \$1,000; or
one of a slate of candidates whose campaign is funded by a political committee formed for the election or primary.

The bill instead allows unopposed candidates to raise contributions in addition to QCs, up to 30% of the applicable general election grant, which is the amount they receive as a grant under current law. It subjects these additional contributions to the same limitations and restrictions that exist for participating candidates running for the same office. Unopposed candidates must limit their expenditures to the sum of their (1) QCs; (2) allowable personal funds, if any; and (3) permissible additional contributions.

If an unopposed candidate is subsequently opposed, he or she is eligible for the applicable general election grant. But the grant is reduced by any additional contributions the candidate receives. At that point, candidates must limit their expenditures to the sum of their (1) QCs; (2) allowable personal funds, if any; (3) permissible additional contributions; and (4) applicable general election grant. Presumably, these candidates, like others, may collect supplemental QCs once they become eligible for the initial general election grant from the CEF. However, the bill does not add supplemental QCs or supplemental grants as sources to their spending limits.

Under current law, a minor or petitioning party candidate who receives a partial CEF grant may continue to raise contributions in addition to QCs to make up the difference between the full and partial grant. The bill eliminates this option for such statewide office candidates since it makes them eligible for full grants.

§ 4 — GRANTS FROM THE FUND

The bill reduces the initial primary and general election grant amounts for participating candidates for statewide offices other than governor. It authorizes qualified minor and petitioning party statewide office candidates to receive a general election grant equal to those that qualified major party candidates receive ("full grant"). As under

existing law, these candidates are not eligible for primary grants.

Under current law, minor and petitioning party candidates may receive a general election grant equal to the grant for a major party candidate only if the candidate for the same office representing the same minor party at the last regular election received at least 20% of the votes cast for that office. Similarly, an eligible petitioning party candidate may receive a full grant for the general election only if his or her petition is signed by a number of qualified electors equal to at least 20% of the number of votes cast for the same office at the last regular election. (Both receive a one-third grant by meeting a 10% threshold or a two-thirds grant by meeting a 15% threshold.)

Tables 3 and 4 show the grant amounts for each statewide office under current law and the bill.

Table 3: Initial Primary and General Election Grants for Gubernatorial Candidates ^a

Grant	Current Law	The Bill
Primary Grant for Nomination	\$1,250,000	\$1,250,000
General Election Grant, Opposed Candidate	3,000,000 b	3,000,000 €
General Election Grant, Unopposed Candidate	900,000 d	() e
General Election Grant, Nominated Candidate Opposed by Minor or Petitioning Party Candidates	1,800,000 f	N/A

N/A means not applicable.

- a To be adjusted for inflation.
- Under current law, applies to a nominated major party candidate who is opposed by another major party candidate or by a minor or petitioning party candidate who has received the required QCs.
- Under the bill, applies to a nominated major party candidate or eligible minor or petitioning party candidate.
- d Under current law, a candidate who faces no opposition receives a general election grant equal to 30% of the applicable grant.
- e The bill redefines unopposed (see above) and eliminates general election grants for these candidates.
- f Under current law, a candidate many receive a grant equal to 60% of the applicable grant when he or she is opposed only by a minor or petitioning party candidate who has received contributions less than the qualifying amount.

Table 4: Initial Primary and General Election Grants for Other Statewide Office Candidates ^a

Grant	Current Law	The Bill
Primary Grant for Nomination	\$375,000	\$200,000
General Election Grant, Opposed Candidate	750,000 b	500,000 ¢
General Election Grant, Unopposed Candidate	225,000 d	() e
General Election Grant, Nominated Candidate Opposed by Minor or Petitioning Party Candidates	450,000 f	N/A

N/A means not applicable.

- a To be adjusted for inflation.
- Under current law, applies to a nominated major party candidate who is opposed by another major party candidate or by a minor or petitioning party candidate who has received the required QCs.
- ^c Under the bill, applies to a nominated major party candidate or eligible minor or petitioning party candidate.
- d Under current law, a candidate who faces no opposition receives a general election grant equal to 30% of the applicable grant.
- The bill redefines unopposed (see above) and eliminates general election grants for these candidates.
- f Under current law, a candidate many receive a grant equal to 60% of the applicable grant when he or she is opposed only by a minor or petitioning party candidate who has received contributions less than the qualifying amount.

§ 2 — SPENDING LIMITS

The bill changes spending limits for primary and general election campaigns by (1) adding the new supplemental QCs and supplemental grants and (2) eliminating independent and excess expenditure matching grants.

Under the bill, participating candidates must agree to limit spending:

1. before a primary and general election campaign, to the sum of the allowable QCs, personal funds, and supplemental QCs, if applicable (sHB 5021 does not include supplemental QCs in the pre-primary spending limit, see BACKGROUND—Related Bill);

2. for a primary campaign, to the sum of (a) the QCs and personal funds not spent before the primary campaign begins, (b) the initial primary campaign grant, and (c) supplemental QCs and supplemental grant, if applicable, up to the maximum authorized amount for the primary; and

3. for a general election campaign, to the sum of (a) the QCs, supplemental QCs, and personal funds not spent before the general election campaign begins; (b) unspent funds from the initial primary campaign grant or supplemental primary grant; (c) the initial general election campaign grant; and (d) supplemental QCs and supplemental grant, if applicable, up to the maximum authorized amount for the general election.

§ 6 — GRANT APPLICATIONS

The bill revises the schedule for submitting grant applications and incorporates into it applications for the new supplemental grants. It also requires candidate committees to certify in the application that they have transmitted all excess supplemental QCs to the CEF, just as existing law requires them to do with excess QCs.

Submissions

As under current law for primaries, the bill requires applicants for an initial or supplemental primary grant to apply by 5:00 pm:

- 1. on the third Thursday in the May preceding the primary or
- 2. on any subsequent Thursday, up through the fourth Friday preceding the primary.

However, on the seventh and ninth Thursdays preceding the primary, the SEEC may only act on (1) primary grant applications (initial or supplemental) for statewide office candidates and (2) supplemental submissions continued without prejudice to previously submitted applications for all covered-office candidates. On the third Thursday preceding the primary, the SEEC may act only on statewide office candidates' supplemental grant applications.

The bill requires applicants for an initial or supplemental general election grant to apply by 5:00 pm:

- 1. on the third Thursday in the May preceding the general election or
- 2. on any subsequent Thursday, up through the fifth Thursday preceding the election for an initial general election grant, or, the third Thursday preceding the election for a supplemental general election grant.

However, on the ninth, fourth, and third Thursdays immediately prior to the election, the commission may act upon supplemental grant applications and supplemental submissions to applications previously submitted continued without prejudice.

Under current law, participating candidates generally submit grant applications by (1) 5:00 p.m. on the third Thursday in May of the year in which they are seeking nomination at a primary or election or (2) by 5:00 p.m. on a subsequent Thursday. The SEEC may not accept applications later than 5:00 p.m. on or after the fourth to last Friday before the primary or election.

Review by the SEEC

Under current law, the SEEC must review the applications it has received and determine whether to approve or reject each one within four business days following Thursday or Friday submissions (i.e., by the following Wednesday or Thursday). The bill retains this schedule for legislative candidate applications but extends the SEEC's review time for statewide office candidates to 10 business days following the Thursday or Friday submission (i.e., two weeks later).

Existing law, unchanged by the bill, requires the SEEC to meet twice during state election years, from the third week of June until the third week of July, to review any pending applications.

SEVERABILITY

The bill changes the CEP's reverter clause, CGS § 9-717, which currently specifies that if the court "prohibits or limits, or continues to prohibit or limit, the expenditure of funds from the Citizens' Election Fund . . . for a period of one hundred sixty-eight hours or more" after April 15, 2010, or if there is a special election for a General Assembly vacancy, PA 05-5, October 25 Special Session, the public financing program, becomes inoperative and prior campaign contribution and spending provisions apply (see BACKGROUND).

Under the bill, if the court prohibits or limits, or continues to prohibit or limit, the expenditure of funds for legislative candidates, CEP provisions concerning these candidates become inoperative and have no effect. But the program's provisions concerning statewide office candidates as amended by the bill remain operative and in effect.

BACKGROUND

Related Bill

sHB 5021, reported favorably by the Government Administration and Elections Committee, makes the same changes affecting statewide office candidates, but also adds similar changes to provisions affecting participating legislative candidates.

Public Act 05-5, October 25 Special Session

This act established the CEP as a voluntary public campaign financing system, banned contributions from certain contractors and lobbyists, and changed campaign contribution limits for candidates who do not participate in the program.

Green Party of Connecticut, et al. v. Garfield, et al.

In August 2009, the federal district court for the District of Connecticut ruled in *Green Party of Connecticut, et al. v. Garfield, et al.*, 648 F. Supp. 2d 298 (D. Conn. 2009) that Connecticut's public financing program is unconstitutional. The court stated that the CEP (1) unconstitutionally burdens minor party candidates' rights to political opportunity and (2) the CEP's independent and excess expenditure provisions unconstitutionally burden their First Amendment speech

rights. However, the court issued a stay, allowing the program to remain operative while the parties appealed the ruling.

In January 2010, oral argument was held at the Second Circuit Court of Appeals before a three judge panel. As of March 30, 2010, the parties are awaiting this court's decision.

COMMITTEE ACTION

Government Administration and Elections Committee

Joint Favorable Substitute Yea 12 Nay 3 (03/18/2010)